

## MAHATMA JYOTIBA PHULE ROHILKHAND UNIVERSITY BAREILLY-243 006 (U.P.)

**First Statute** 

(As amended till Date)

2023

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#### MAHATMA JYOTIBA PHULE ROHILKHAND UNIVERSITY

In Pursuance of clause (3) of Article 348 of The Constitution of India, The Governor is pleased to order the publication of the following English Translation of Notification

No. 6453/XV-10-77-77(2)-77, dated December 20, 1977

U.P. Government Education Anubhag-10 Notification (adhisuchana)

No. 6453, 15-10-77-77(2)-77 Lucknow, 20 December 1977

P.A.-577

In exercise of the powers conferred by Sub-section (1) of Section-50 of U.P. State Universities Act, 1973, as amended & reacted by the Uttar Pradesh Universities (Re- enactment & Amendment) Act, 1974, the Governor is pleased to make the following first statutes for the University of Rohilkhand. In 1997 University of Rohilkhand has been renamed as Mahatma Jyotiba Phule Rohilkhand University vide Notification No.1255/sattar.Vi.1.1. (Ka) -31-1997 Lucknow Dated 16.0.1997

#### First Statutes of the Mahatma Jyotiba Phule Rohilkhand University

(Amended up to September, 2021)

#### CHAPTER I PRELIMINARY

Section - 50 (1)

- 1.01
- These Statutes may be called the Mahatma Jyotiba Phule Rohilkhand University First Statutes, 1977.
- (2) They shall come into force on December 26, 1977.
- 1.02.
- (1) All existing Statutes and all such Ordinances in force in the University as are inconsistent with these Statutes, are to the extent of such inconsistency, hereby rescinded and shall forthwith cease to have effect except as respect things done or omitted to be done before the commencement of these Statutes.
- (2) The Uttar Pradesh State Universities First Statutes (Age of Superannuation, Scales of Pay and Qualifications of Teachers), 1975, issued with government notification no. 4546/XV-10-75, dated July 25, 1975, as amended by government notification no, 7251/XV-10-7560 (115)-73, dated October 20, 1975 shall in relation to the University of Rohilkhand stand repealed with effect from the date of such commencement.

#### Definitions

Section - 50 (1)

- 1.03.
- In these Statutes, unless the context otherwise requires:-
- (a) 'Act' means the Uttar Pradesh State Universities Act; 1973, as re-enacted by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 and amended from time to time;

- (b) 'Clause' means a clause of the Statute in which that expression occurs;
- (c) 'Section' means a section of the U.P. State University Act;
- (d) 'University' means the Mahatma Jyotiba Phule Rohilkhand University; and
- (e) Words and expressions used but not defined in these Statutes shall have the meaning assigned to them in the Act.

Section - 49 and 50 1.04.

In these Statutes, all references to the age of a teacher shall be construed to be references to the age according to the date of birth of the teacher concerned as mentioned in the High School certificate or that of any other examination recognized as equivalent there to.

## CHAPTER II OFFICERS AND OTHER FUNCTIONARIES OF THE UNIVERSITY

#### The Chancellor

Section - 10 (4) and 49 2.01 (C)

- (1) The chancellor may, while considering any matter referred to him under section 68, call for such documents or information from the University or parties concerned, as he may deem necessary, and may, in any other case, call for any documents or information from the University.
- (2) Where the Chancellor calls for any documents or information from the University under clause (1), it shall be the duty of the Registrar to ensure that such documents or information are promptly supplied to him.
- (3) If in the opinion of the Chancellor, the Vice Chancellor willfully omits or refuses to carry out the provisions of the Act or abuses the powers vested in him and if it appears to the Chancellor that the continuance of the Vice Chancellor, in office is detrimental to the interests of the university, the Chancellor may, after making such enquiry as he deems proper, by order, remove the Vice Chancellor.
- (4) The Chancellor shall have power to suspend the Vice Chancellor during the pendency or in contemplation of any inquiry referred to in clause (3).

#### The Vice Chancellor

Section - 13 (9) and 2.02 Section - 49 (c) The Vice Chancellor shall have power to call for such documents and information from an affiliated college in respect of any matter connected with teaching, examination, research, finance or any matter affecting the discipline or efficiency of teaching in the college, as he thinks fit.

#### The Pro Vice Chancellor

Section - 14 (2)

- The Vice Chancellor, if he considers necessary, may appoint a Pro Vice Chancellor from amongst the Professors of the University.
- The Pro Vice Chancellor appointed under sub-section (2) shall discharge his duties in addition to his duties as a Professor.
- (3) The Pro Vice Chancellor shall hold office at the pleasure of the Vice Chancellor.
- (4) The Pro Vice Chancellor shall get an honorarium of rupees three hundred per month.
- (5) The Pro Vice Chancellor shall assist the Vice Chancellor in respect of such matters, as may be specified by the Vice Chancellor in this behalf from time to time and shall preside over the meetings of the University in the absence of the Vice Chancellor and shall exercise such powers and perform such duties as may be assigned or delegated to him by the Vice Chancellor.

#### The Finance Officer

Section - 9 (c)

2.03

When the office of the Finance Officer is vacant or when the Finance Officer, is, by reason of illness, absence or any other cause unable to perform the duties of his office, the duties of the office shall be performed by one of the Deans of the Faculties nominated by the Vice Chancellor and if for any reason the same is not feasible, then by the Registrar or by such officer as may be nominated by the Vice Chancellor.

Section - 15 (7) and 49 2.04. (c) The Finance Officer -

- (a) shall exercise general supervision over the funds of the University;
- (b) may advise it in any financial matter either suo-motu or on his advice being sought;
- (c) shall keep a constant watch on the state of the cash and bank balances and on the state of investments;
- (d) shall collect the incomes, disburse the payments and maintain the accounts of the University;
- (e) shall ensure that the registers of building, land, furniture and equipment are maintained up-to-date and that stock checking of equipment and other consumable materials is conducted regularly in the University;
- shall probe into any unauthorized expenditure and other financial irregularities and suggest to the competent authority, disciplinary action against persons at fault;
- (g) may call for any information or return from any department or unit of the University that he may consider necessary for the performance of his duties;

- (h) shall arrange for the conduct of continuous internal audit of the accounts of the University, and shall pre-audit such bills as may be required in accordance with any standing orders in that behalf;
- shall perform such other functions in respect of financial matters as may be assigned to him by the Executive Council or the Vice Chancellor;
- (j) shall, subject to the provisions of the Act and Statutes, exercise disciplinary control in terms of clauses (2) and (3) of Statutes 2.06 over all the employees in the Audit and Accounts Section of the University below the rank of the Assistant Registrar (Accounts) and shall supervise the work of the Deputy/Assistant Registrar (Accounts) and the Accounts Officer.

Section - 13 (9), 15 (7) 2.05. and 49 (c)

If any difference of opinion arises between the Vice Chancellor and the Finance Officer on any matter concerning the performance of the functions of the Finance officer, the question shall be referred to the State Government whose decision shall be final and binding on both the officers.

#### The Registrar

Section - 13 (9), 16 (4), 2.06. 21 (i) - (vii), 21 (8) and 49 (c) and (e)

- Subject to the provisions of the Act and the Statutes, the Registrar shall have disciplinary control over all employees of the University, other than the following namely-
  - (a) Officers of the University;
  - (b) Deputy Registrars and Assistant Registrars;
  - (c) Employees of the University in the Accounts and Audit Section.
- (2) The power to take disciplinary action under clause (1) shall include the power to order dismissal, removal, reduction in rank, reversion, termination or compulsory retirement of an employee referred to in the said clause, and shall also include the power to suspend such employee during the pendency, or in contemplation of an inquiry.
- (3) No order shall be made under clause (2) except after an inquiry in which the employee has been informed of the charges against him and given a reasonable opportunity of being heard in respect of those charges:

Provided that where it is proposed after such inquiry to impose upon him any such penalty, such penalty may be imposed on the basis of the evidence adduced during such inquiry and it shall not be necessary to give such person any opportunity of making representation on the penalty proposed:

Provided further that this clause shall not apply in the following cases, notwithstanding that the order is based on any charge (including a charge of misconduct or inefficiency), if such order does not disclose at its face that it was passed on such basis:-

- (a) An order of reversion of an officiating promotee to his substantive rank.
- (b) An order of termination of service of a temporary employee.
- (c) An order of compulsory retirement of an employee after he attains the age of fifty years.
- (d) An order of suspension.

Section - 21 and 49 2.07.

An employee of the University aggrieved by an order referred to in Statute 2.06 may prefer an appeal (through the Registrar) to the Disciplinary Committee constituted under Statute 8.01, within fifteen days from the date of service of such order on him. The decision of the Committee on such appeal shall be final.

Section - 16

2.08. Subject to the provisions of the Act, it shall be the duty of the Registrar:-

- (a) to be the custodian of all the properties of the University unless otherwise provided for by the Executive Council;
- (b) to issue all notices convening meetings of the various authorities referred to in section 19 with the approval of the competent authority concerned and to keep the minutes of all such meetings;
- (c) to conduct the official correspondence of the Court, the Executive Council and the Academic Council;
- (d) to exercise all such powers as may be necessary or expedient for carrying into effect the orders of the Chancellor, Vice Chancellor or various authorities or bodies of the University of which he acts as Secretary;
- (e) to represent the University in suits or proceedings by or against the University, sign powers of attorney and verify pleadings.

#### The Controller of Examination

Section - 16 (A) 4 2.06.

(A) The Controller of Examinations shall be responsible for the due custody of the records pertaining to his work. He shall be ex-officio Secretary of the Examinations Committee of the University and shall be bound to place before such Committee all such informations as may be necessary for transaction of its business. He shall also perform such other duties as may be prescribed by the Statutes and Ordinances as required, from time to time, by the Excutive Council or the Vice Chancellor but he shall not, by virtue of this sub-section, be entitled to vote. He may require, from any office or institute of the University, the production of such return or the furnishing of such information as may be necessary for the discharge of his duties.

Section - 16 A (8)

\*While the The Controller of Examination is for any reason is unable to act or the office of The Controller of Examination is

<sup>\*</sup>U.P. Govt. Notification No. 624/Sattar-1-2018-16(28)/2013 Dated: 08 Oct., 2018

vacant, all the duties of the office shall be performed by such person may be appointed by vice chancellor, untill the controller of Examination resumes his duties or, as the case may be, the vacany is filled.

#### The Dean of Faculties

Section - 27 (4) and 49 2.09. (b)

 If a casual vacancy occurs in the office of the Dean of a Faculty, the senior-most teacher in the Faculty shall perform the duties of the Dean.

#### Provided that

(2) No person shall continue to be a Dean after he has ceased to hold the post by virtue of which he came to hold the office of Dean.

Section - 27 (4), 64 (2) 2.10. and 74 (3) (b)

- A teacher who on the date of commencement of these Statutes has-
  - (a) held the office of Dean for a period of three years or more, shall be deemed to have had his turn and the teacher next eligible in order of seniority shall assume office as Dean with effect from the commencement of these Statutes;
  - (b) not completed three years as Dean shall continue to hold the office of Dean till the completion of the period of three years and on such completion the teacher next eligible in order of seniority shall assume office as Dean.
- (2) For the purpose of computing the period during which a teacher has held the office of Dean-
  - (a) any period during which such teacher was prevented from entering upon or continuing in the office of Dean by an order of any Officer of the University or of any court, shall be excluded;
  - (b) any period during which any teacher has, under an order of any officer of the University or of any court, been allowed to hold the office of Dean, it being ultimately found that he was not legally entitled to hold such office during that period, shall count towards his term of office of Dean when he next gets his turn.

Section - 18 and 49 (c) 2.11.

The Dean of the Faculty shall have the following duties and powers:-

- He shall preside at all meetings of the Board of Faculty and shall see that the various decisions of the Board are implemented.
- (ii) He shall be responsible for bringing the financial and other needs of the faculty to the notice of the Vice Chancellor.
- (iii) He shall have the right to be present and to speak at any meeting of the Boards of Studies pertaining to his faculty but shall have no right to vote there at unless he is a member thereof.

#### The Dean of Students Welfare

		The Dean of Students Welfare
Section - 18, 21 (i) (xvii) and 49 (c)	*2.12	The Dean of Students Welfare shall be appointed, by the Executive Council on the recommendation of the Vice-chancellor <sup>2</sup> , from amongst the teachers of the University, who possess teaching experience of not less than 10 years and who are not below the rank of an Associate Professor.
Section - 18 and 49	**2.13	The teacher who is appointed as The Dean of Students Welfare shall perform his duties as The Dean in addition to his own duties as teacher.
Section - 49	2.14	The Tenure of office of the Dean of Student welfare shall be three years unless determined earlier by the Executive council.
		Provided that the Dean of Students Welfare holding office as such on the date immediately preceding the date of commencement of the Rohilkhand University (Fifteenth Amendment) First Statutes, 1987 shall be deemed to have been appointed under Statutes 2.12.
Section - 18	2.15	(1) The Dean of students Welfare shall be assisted by a set of teachers (to be selected in the manner laid down in the Ordinances), who shall perform their duties in addition to their normal duties to teachers. The teachers so selected shall be called Assistant Deans of Students Welfare.
		(2) One of the Assistant Deans of Students Welfare shall be appointed from amongst the lady teachers of the University who shall look after the Welfare of the girl students.
Section - 18, 49 (c) and (d)	2.16.	(1) It shall be the duty of the Dean of Students Welfare and the Assistants Deans of Students welfare to assist generally the students in matters requiring help and guidance, and in particular, to help and advice students and prospective students in -
		<ul> <li>(i) obtaining admission to the University and its courses;</li> <li>(ii) the choice of suitable courses and hobbies;</li> <li>(iii) finding living accommodation;</li> <li>(iv) making messing arrangements;</li> <li>(v) obtaining medical advice and assistance;</li> <li>(vi) securing scholarships, stipends, part-time employment and other pecuniary assistance;</li> <li>(vii) obtaining travel facilities for holidays and educational excursions;</li> <li>(viii)securing facilities for further studies abroad; and</li> <li>(ix) so conducting themselves in proper pursuit of academic studies as to maintain the tradition of the University.</li> <li>(2) The Dean of Students welfare may communicate with the guardian of a student in respect of any matter requiring his assistance when necessary.</li> </ul>

the Superintendent or Assistant Superintendent of Physical Education

The Dean of Students Welfare shall exercise general control over

2.17.

Section - 49 (c)

<sup>\*</sup>U.P. Govt. Notification No. 3449/XV-X-8-88 (6)/-87 dated June 8, 1988, R.U. 18th Amendment, 1987

eeU.P. Govt. Notification No. 6806/XV-X-80-10 (1)/87 dated March 31, 1987, R.U. 15th Amendment, 1987

if any and the University Medical Officer. He shall perform such other duties as may be assigned to him by the Executive Council or the Vice Chancellor.

Section - 13 (9)

2.18 The Vice Chancellor may consult the Dean of Students Welfare before taking any action against a student on disciplinary grounds.

Section - 49 (d)

2.19. The Dean of Students Welfare may be paid such honorarium out of the funds of the University as the Vice Chancellor may fix with prior approval of the State government.

#### The Head of Department

Section - 49 \*2.20 (1) The Head of department shall be appointed by the Vice Chancellor, as for as possible with the principal of rotation.

Such appointments shall be intimated to the Executive Council.

- (2) Notwithstanding anything contained in clause (1), if a senior teacher has not been appointed as Head of the Department and he/she is senior to the person serving as Head of the Department then it shall be under the control of the Vice Chancellor, that when post of the Head of Department become vacant, then Vice Chancellor shall appoint such senior teacher as Head of the Department on vacant post of Head of the Department if he/ she possess the eligibility of appointment.
- (3) The term of the Head of the Department shall be three years. Normally one person shall not be appointed as the Head of the Department on the consecutive term.
- (4) Not with standing anything contained to the clause(1) and (2), in the event of pendency in the appointment of Head of the Department or in the case of absence of Head of the Department due to leave, on the evaluation of prevalent situation, the Vice Chancellor shall appoint a full time Head of the Department on the substitution basis among the Professor or Associate Professor of the department for fulfilling of the duties or acting as Head of the Department as the case may be purely on ad hoc basis.

Note: Principal of rotation will be applicable in order of seniority. The person who has served or is serving as Head of the Department, then immediate next senior teacher will be entitled for the post of Head of the Department.

(5) The Head of Department of every department shall always be a Professor of the department concern. In case, there is only one Professor in any department or a Professor does not have eligibility to become Head of the Department, then Associate Professor could be appointed as Head of the Department, and when a Professor or Associate Professor do not have eligibility to become Head of the Department, then concern Dean shall work as Head of Department of concern department.

<sup>\*</sup>Amended Vide Government Order No. 1723/70-1-08-15(55)/82 Dated July 2, 2008 & No. 377/70-1-2013-16(114) 2010 dated 03-12-2013

- (6) On July 02, 2008, the departments where Head of the Department has completed their three years of work-period, shall be changed and the Head of the Department who have not completed their three years' work-period, shall be changed after the completion of their rest of the work-period.
- (7) But, in the departments of Medical and Dental Science faculties, those teachers shall be eligible to be appointed on the post of Head of the Department who has post-graduate degree as prescribed by Indian Medical Council or Dental Science Council respectively.

#### The Librarian

\*2.21 (1) The Librarian shall be appointed by the Executive Council on Section - 49 the recommendation of a Selection Committee (2) The Librarian may be assisted by Deputy Librarian and Assistant Librarian against the post approved by the State Government. Selection committee for the post of Librarian, Deputy Librarian and Assistant Librarian shall be the same as that of a Professor, Associate Professor and Assistant Professor, except that the concerned expert in Library, a practicing Librarian shall be associated with the Selection Committee as one of the subject expert. \*\*2.22 The minimum qualification of the Librarian, Deputy Librarian and Section - 49 (c) Assistant Librarian shall be such as are provided for the same in the Statute. 2.23 Section - 49 (c) The emoluments of the Librarian, Deputy Librarian and Assistant Librarian shall be such as may be approved by the State Government. 2.24 It shall be the duty of the Librarian to maintain the Library of the Section - 49 (c) University and to organize its service in the manner most conducive to the interest of teaching and research. Section - 49 (c) 2.25 The Librarian shall be under the disciplinary control of the Vice

> Provided that he/she shall have the right of appeal to the Executive Council against any order of the Vice Chancellor passed in the disciplinary proceeding against him.

#### The Proctor

Section - 18 (c) and 49 2.26 (c)

The Proctor shall be appointed from amongst the teachers of the University by the Executive Council on the recommendation of the Vice Chancellor. The Proctor shall assist the Vice chancellor in the exercise of his disciplinary authority in respect of students of the University and shall also exercise such powers and perform such duties in respect of discipline as may be assigned to him by the Vice Chancellor in this behalf.

Chancellor:

<sup>\*</sup>Amended vide government order, No. 377/70-1-2013-16(114)2010/2469, dt. Dec. 3, 2013

<sup>\*\*</sup>Amended vide government order, No. 600/Sattar-1-2019-16(114)/2010. Dated: 28 June; 2019

Section - 49 (c)	2.27	The proctor shall be assisted by Assistant Proctors whose number shall be fixed by the Executive Council from time to time.
Section - 49 (c)	2.28.	The Assistant Proctors shall be appointed by the Vice chancellor in consultation with the Proctor,
Section - 49 (c)	2.29	The Proctor and the Assistant Proctor shall hold office for one year and shall be eligible for re-appointment:
		Provided that for so long as his successor is not appointed every Proctor or Assistant Proctors shall continue in office:
		Provided further that the Executive Council may, on the recommendation of the Vice Chancellor, remove the Proctor before the expiry of the said period:
		Provided also that the Vice Chancellor may remove an Assistant Proctor before the expiry of the said period.
Section - 49 (c) and (e)	2.30	The Proctor and the Assistant Proctors may be paid such honorarium out of the funds of the University, as may be fixed by the Vice Chancellor with the prior approval of the State Government."
	2.31	Director, Directorate of Research*
		1 The Director Directorate of research shall be appointed by vice

- The Director, Directorate of research shall be appointed by vice chancellor. The Vice chancellor will also appoint faculty/ Assistant Director/Aditional Director amongs the University campus and affilated colleges.
- The Director, Directorate of Research will be responsible from admission to last viva voce of Ph.D. awarding to Ph.D./Research Degree.
- The Directorate of research will perform all works concerning the Ph.D./Research Programme.

<sup>\*</sup> Passed by E.C. Resolutions No. 07/2020, Dated 18-12-2020

#### CHAPTER II-A

#### The Officer of the University

The following shall be the officers of the University-

- (a) The Chancellor
- (b) The Vice Chancellor
- (c) The Pro Vice Chancellor
- (d) The Finance Officer
- (e) The Registrar

- (f) The Controller of Examinations
- (g) The Deans of the Faculties
- (h) The Dean of Students Welfare
- (i) such other officers as may be declared by the Statutes to be the officers of the University
- \*2.01 (A) The members of the Executive Council shall also be the officers Section - 9(1) of the University.

#### CHAPTER III THE EXECUTIVE COUNCIL

Section - 20 (1) (c)	3.01.	The Deans of Faculties, who shall be members of the Executive Council under section 20 (l) (c) shall be chosen in the order in which the names of various Faculties are enumerated in Statute 7.01.
Section - 20 (1) (d)	3.02	Three Principals and two other teachers of affiliated Colleges who shall be members of the Executive Council under clause (ii) of section 20 (1) (d) shall be selected by rotation in order of seniority as such Principals and teachers, as the case may be.
Section - 20 (1) (d)	3.02(A)	Three teachers of University who shall be members of the Executive Council Under Sub-clause-(1) Section 20 (1) (d) shall be as follows:  (a) One Professor to be selected by rotation in order of seniority
		(b) One Associate Professor to be selected by rotation in order of seniority

<sup>\*</sup>U.P. Govt. Notification No. 931/XV-X-85-15 (75) - 83 Dated March 28, 1985, R.U. 13th Amendment, Statutes 1985

(c) One Assistant Professor to	o be	selected	by rotation	in order of
seniority				

Section - 20 (1) (e)	3.03.	Persons elected under clause (f) of section 20 (1) shall cease to be member of the Executive Council on their subsequently becoming students of, or accepting service in the University, an Institute, a constituent college, an Officer before considering any proposal involving financial implications.
Section - 49 (2) and (b)	3.04.	No person shall be or continue to be a "Member of the Executive Council in more than one capacity, and, whenever a person becomes a member of the Executive Council in more than one capacity, he shall within two weeks thereof choose the capacity in which he desires to be member of the Executive Council and shall vacate the order seat. Where he does not so choose, the seat held by him earlier in point of time shall be deemed to have been vacated with effect from the date of expiry of the aforesaid period of two weeks.
Section - 21 (8)	3.05.	The Executive Council may, by resolution passed by a majority of its total membership, delegate such of its powers as it deems fit to an officer or authority of the University subject to such conditions as may be specified in the resolution.
Section - 20 (1) (cc)	3.0A	Reservation in the membership of the Executive Council to OBC, SC and ST members shall be given in accordance with Section 20(1) (cc) of the Act.**
Section - 20 and 49 (b)	3.06.	The meetings of the Executive Council shall be called under the directions of the Vice Chancellor.
Section - 20 and 49 (b)	3.07.	The Executive Council shall obtain the opinion of the Finance Officer before considering any proposal involving financial implications.

#### CHAPTER IV THE COURT

(Representation of teachers, etc.)

Section - 22 (1) (vii)	4.01.	Two provosts and wardens of the hostels and halls of the University and its constituent Colleges and Institutes, if any, who shall be members of the Court under clause (vii) of section 22 (1), shall be selected by rotation on the basis of longest continuous service as such provosts or wardens.
Section - 22 (1) (ix)	*4.02.	(1) Fifteen teachers who shall be members of the Court under clause

- Fifteen teachers who shall be members of the Court under clause (ix) of section 22 (1), shall be selected in the following manner:-
  - (a) One Associate Professor of the University
  - (b) One Assistant Professor of University
  - (c) The Dean of Students Welfare
  - (d) Four Principals of affiliated colleges;
  - (e) Eight other teachers of affiliated colleges.

<sup>\*</sup>Amended Vide Government Notification No. 6806/15-10-86-10(1)/85 dt.March 31,1987 R.U.Amendment 1987

<sup>\*\*</sup> Ins. by U.P. Act No. 1 of 2004 (w.e.f. 11.07.2003)

(2) The above Associate Professors, Assistant Professors, Principals and other teachers shall be selected in order of seniority as Associate Professors, Assistant Professors, Principals or other teachers as the case may be.

Section - 22 (1) (X) and 4.03. 64 (3)

- Two representatives of the Management of affiliated colleges, who shall be members of the Court under clause (x) of section 22 (1), shall be nominated by the Vice-Chancellor by rotation, starting from the colleges having the longest standing.
- (2) The Management represented shall be free to send to any meeting of the Court any of its members (including Presidents).

#### Registration of Graduates and their representation in Court

Section - 16 (4) and 49 4.04.

Section - 49 (q) 4.05.

The Registrar shall maintain in his office a register of Registered Graduates, hereinafter in this Chapter called the Register.

The Register shall contain the following particulars :-

- (a) The names and addresses of the registered graduates.
- (b) The year of their graduation.
- (c) The name of the University or the college from which they graduated.
- (d) The date on which the name of the graduate was entered in the Register.
- (e) Such other details as the Executive Council may from time to time direct.

Note: The names of the Registered Graduates who are dead shall be struck off.

4.06. Every graduate of the University from the date of the convocation at which the degree by virtue of which he is to be registered was conferred or would have-been conferred on him if he were present there at shall, on an application in the form approved by the Executive Council and on payment of fee of rupee fifty-one be entitled to have his name registered in the Register.

The application shall be made by the graduate himself, and may either be delivered to the Registrar personally or sent by registered post. If two or more applications are received in the same cover, they shall be rejected:

Provided that every graduate from a college originally affiliated to any other University, and now affiliated to the University, may also apply for registration as a Registered Graduate in the University, provided that he is not Registered Graduate of any other University on the basis of the same degree.

Section - 49 (q)	4.07	On receipt of the application, the Registrar shall, if he finds that the graduate is duly qualified and the prescribed fee has been paid, enter the name of the applicant in the Register.
Section - 49 (q)	4.08.	A registered graduate whose name has been borne on the Register for one year or more on June 30, preceding the date of notification for the election shall be entitled to vote at the election of the representatives of registered graduates:
		Provided that the restriction of one year shall not apply to the first election of Registered graduates to the Court to be held on the publication of these Statutes.
Section - 22 (1), (xi) and 49 (q)	4.09.	A registered graduate shall be eligible to seek election under clause (xi) of section 22 (1), if his name has been borne on the Register for at least three years on June 30, preceding the date of election:
		Provided that the restriction of three years shall not apply to the first election of Registered graduates to the Court to be held on the publication of these Statutes.
Section - 22 (1), (xi) and 49 (q)	4.10	A representative of registered graduates elected under clause (xi) of section 22 (1) shall cease to be a member on entering the service of the university or of an institute or a constituent college, an affiliated college, a hostel, a hall or being connected with the management of an affiliated college, a hall or hostel or on becoming a student, and the seat so vacated shall be filled up by the person available who secured the next highest votes at the time of the previous election for the residue of his term.
Section - 22 (1) (xii) and (xiii)	4.11.	A registered graduate, who is already a member of the Court in another capacity, may seek election as a representative of registered graduates, and on his being so elected, theprovisions of Statute 3.04 shall mutatis mutandis apply.
Section - 22 (1) (xi)	4.12.	The election of the registered graduates under this Chapter shall be held in accordance with the system of proportional representation by means of single transferable vote as laid down in Appendix A.
Section - 22 (2) and 49 (b)	4.13.	The term of the members of the Court shall commence from the date of the first meeting of the Court.
		CHAPTER V The ACADEMIC COUNCIL

### The ACADEMIC COUNCIL

Three Principals of affiliated colleges of the University who shall

be members of the Academic Council under clause (vii) of section 25 (3) and 49 (b) 25 (2), shall be selected in order of seniority as Principal of such colleges. (1) Fifteen teachers who shall be members of the Academic Council Section - 25 (2) (viii) \*5.02.

and 49 under clause (viii) of section 25 (2) shall be selected in the following manner:

Section - 25 (2) (vii), 5.01.

<sup>\*</sup>Amended Vide Government Notification No. 6806/15-10-86-10(1)/85 dt.March 31,1987 R.U.Amendment 1987

- One Associate Professor of the University in order of Seniority
- (b) Two Assistant Professors of the University in order of Seniority
- (c) Twelve teachers of the affiliated colleges (not being principals) by rotation in order of seniority.

NOTES (1) Not more than one Assistant Professor from the same Faculty and not more than two teachers from the same affiliated college shall be members under this Statute.

> (2) In the event of more than one Assistant Professor of the University from the same faculty and more than two teachers of the same college being entitled to be members of the Academic Council under this Statute, senior most Assistant Professor and two senior most teachers shall be, members of the Academic Council. Assistant Professor and Teachers so passed over shall have their turn in rotation next time.

Section - 25 (2) (xii) 5.03. and 9 (b)

Five persons of academic eminence who shall be members of the Academic Council under clause (xi) of section 25 (2) shall be coopted by the members mentioned in clauses (i) to (x) of that section, who shall be called to a meeting by the Registrar, from amongst persons who are not employees of the University, a constituent college, an Institute, an affiliated college, a hall or hostel.

Provided that if there is no member belonging to the Scheduled Castes or Scheduled Tribes or the Other Backward classes of citizen in the Academic Council constituted under Section 25(2) of the Act, the Vice-Chancellor shall nominate two members belonging to the Scheduled Castes or Scheduled Tribes and two members belonging to Other Backward Classes or citizen from amongst the teachers of the University by rotation in the manner prescribed.

Section - 25 (3) and 49 5.04.

Members under clauses (vi), (vii), (viii) and (xi) of section 25 (2), shall hold office for a period of three years.

Section - 25 (1) (c) 5.05

Subject to the provisions of the Act, these Statutes and the Ordinances, the Academic Council shall have the following powers, namely-

- (i) to scrutinize and make its recommendations on proposals submitted by the Boards of Studies through the Faculties in regard to the courses of study and to recommend principles and criteria on which examiners and the inspectors may be appointed, for the consideration of the Executive Council;
- (ii) to report on any matter referred or entrusted to it by the Court or the Executive Council;
- (iii) to advise the Executive Council in regard to the recognition of the diplomas and degrees of other University and institutions

and in regard to their equivalence with the diplomas and degrees of the University or the intermediate Examination conducted by the Board of High school and Intermediate Education, Uttar Pradesh:

- (iv) to advise the Executive Council in regard to the qualifications required to be possessed by persons . imparting instruction in particular subjects for the various degrees and diplomas of the University; and
- (v) to perform in relation to academic matters all such duties and to do all such acts as may be necessary for the proper carrying out of the provisions of the Act, the Statutes and the Ordinances:

Section - 25 and 49 (b) 5.06.

The meeting of the Academic Council shall be called under the directions of the Vice Chancellor.

#### CHAPTER VI THE FINANCE COMMITTEE

Section - 49 (b) 6.01.

The term of membership of the person referred to in clause (d) of section 26 (1), shall be one year, provided that he shall continue in office till the election of his successor. No such member shall hold office successively for more than three terms.

Section - 26 (3) and 49 6.02. (a) Items of new expenditure included in the financial estimates, shall be referred to the Finance Committee in the cases of:-

- non-recurring expenditure if it involves an expenditure of ten thousand rupees or above; and
- (ii) recurring expenditure if involves an expenditure of three thousand rupees or above;

Section - 26 (3) and 49 6.03. (a) Provided that it shall not be permissible for any officer or authority of the University to treat an item which has been split in to several parts falling under a budget head as several items of smaller amount and withhold it from the Finance Committee. The Finance Committee shall, on or before such date as may be provided for in this behalf by the Ordinances consider all items of expenditure referred to it, under Statute 6.02, or Statute 6.04, and shall make and communicate to the Executive Council as soon as may be its recommendations thereon.

Section - 26 (3) and 49 6.04.

If the Executive Council, at any time after the consideration of the annual financial estimates (i. e., the budget) proposes any revision there, of involving recurring or non-recurring expenditure of the amount referred to in Statute 6.02, the Executive Council shall refer the proposal to the Finance Committee.

Section - 26 (1) and 49 6.05. (a) The annual accounts and the financial estimates of the University prepared by the Finance Officer shall be laid before the Finance Committee for consideration and there after submitted to the Executive Council for approval.

Section - 28 (3) and 49 6.06 A member of the Finance Committee shall have the right to record a minute of dissent, if he does not agree with any decision of the Finance Committee, The Finance Committee shall meet at least twice every year to Section - 26 (3) and 49 6.07 examine the accounts and to scrutinize proposals for expenditure. (a) The meetings of the Finance Committee shall be convened under Section - 25 (7) and 49 6.08. the directions of the Vice-Chancellor and all notices for convening (c) such meetings shall be issued by the Finance Officer, who shall keep the minutes of all such meetings. CHAPTER VII THE FACULTIES The University shall have the following Faculties, namely-\*7.01 Section - 27 (1) (a) Faculty of Agriculture. (b) Faculty of Arts. (c) Faculty of Commerce. (d) Faculty of Legal Studies (e) Faculty of Science. (f) Faculty of Applied Science (g) Faculty of Education (h) Faculty of Humanities & Social Science (i) Faculty of Education and allied Science Faculty of Engineering and Technology (k) Faculty of Management Faculty of Medical Sciences (m) Faculty of Dental Sciences (n) Faculty of Fine Arts \*\*(o) Faculty Ayurved and Unani 7.02 (1) The Board of each Faculty other than the Faculties of Legal Section - 27 (3) studies, Commerce, shall be constituted as follows :-(ii) One senior-most teacher who shall either be a principal or

- senior-most teacher of a Post-graduate department for each of the subject comprised in the Faculty and recognised up to Post-graduate standard.
- (iii) One senior-most teacher who shall be a Principal or seniormost teacher of a Department for each of the subjects comprised in the Faculty and recognised up to first degree standard only.

\*\*\*(iv)Three senior-most teachers in the Faculty other than Principals and teachers mentioned in clauses (ii) and (iii)

The Dean of the Faculty, who shall be the Chairman.

<sup>\*</sup>E-6252/G.S., dt. 04.08.2010;

<sup>\*\*</sup>E-3799/15-G.S.-16-III, Dated 06.05,2016

<sup>\*\*\*</sup>U.P. Govt. Notification No. 6806/XV-X-86-10 (1)/85 Dated March 31, 1987, R.U. 15th Amendment Statutes, 1987

above provided that no two shall profess the same subject and belong to the same college. Where, there is more than one college recognised for the teaching of the subject. The teachers so passed over shall not lose their turn in rotation next time.

- (v) Seven persons possessing expert knowledge of the subjects comprised in the Faculty or subjects allied to them, not in the service of the University or any of its colleges, to be nominated by the Vice Chancellor from the following categories:-
  - (a) Professors in Universities
  - (b) Present or retired Principals of Post graduate colleges;
  - (c) Directors of Research Institutes:

Provided that the number of members from category (b) shall not exceed three.

- (vi) All Professors of the University in subjects comprise in the faculty
- (2) The teachers under items (ii), (iii) and (iv) of clause (1) shall be chosen by rotation in order of seniority.
- \*\*(3) Provided that if there is no member belonging to the SC/ST or the OBC classes of citizens in the Board of Faculties, the Vice-Chancellor may nominate two members belonging to the SC/ ST and OBC among the teachers of the university by rotation in the manner prescribed for one year.

Section - 27 (3)

\*7.03

The Board of the Faculty of Legal studies shall he constituted as follows:-

- (i) The Dean of the Faculty, who shall be the Chairman.
- (ii) Five teachers of Law who shall be either Principal or the seniormost teachers by rotation in order of seniority from among teachers of the university and affiliated colleges.
- (iii) Five teachers of Law other than Principals and teachers mentioned in clause (ii) above by rotation in order of seniority from among teachers of affiliated colleges, provided both of them shall not belong to the same college. The teachers so passed over shall not lose their turn in rotation next time.
- (iv) Three persons possessing expert knowledge of the subjects comprised in the Faculty or subjects allied to them, not in the service of the University or any of its colleges to be nominated by the Vice-Chancellor from the following categories;
  - (a) Professors in Universities:
  - (b) Present or retired Principals of Post-graduate colleges;
  - (c) Directors of Research Institutes:

Provided that the number of members from category (b) shall not exceed one.

(v) The District Judge of Bareilly.

<sup>\*</sup>U.P. Govt. Notification No. 6806/XV-X-86-10 (1)/85 Dated March 31, 1987, R.U. 15th Amendment Statutes, 1987

<sup>\*\*</sup>Amended Vide Government Notification No. 811/Satter-1-2003 Dated 26.03,2003

<sup>\*\*\*</sup>Amended Vide Government Notification No.-811/satter-I-2003-15(89)/2002 dated 26.03,2003

\*(vi) Provided that if there is no member belonging to the SC/ST or the OBC classes of citizens in the Board of Faculties of the Legal Studies, the Vice-chancellor may nominate two members belonging to the SC/ST and OBC among the teachers of the university by rotation in the manner prescribed for one year.

Section - 27 (3)

7.04. The Board of the Faculty of Commerce shall be constituted as follows:

- (i) The Dean of the Faculty, who shall be the Chairman.
- (ii) Five teachers of the subject who shall be either Principals or the senior-most teachers of Postgraduate department by rotation in order of seniority from among teachers of affiliated colleges.
- (iii) Three teachers teaching Post-graduate classes other than Principals and teachers mentioned in clause (ii) above, by rotation in order of seniority from among the teachers of affiliated colleges, provided that not more than one shall belong to one college. The teachers so passed over shall not lose their turn in rotation next time.
- (iv) Convener of the Board of Studies in Economics in the University.
- (v) Three persons possessing expert knowledge of the subjects comprised in the Faculty or subjects allied to them, not in the service of the University or any of its Colleges, to be nominated by the Vice-Chancellor from the following categories:
  - (a) Professors in Universities;
  - (b) Present or retired Principals of Post-graduate colleges;
  - (c) Directors of Research Institutes;

Provided that at least two of the above persons shall belong to categories (a) and (c).

\*(vi) Provided that if there is no member belonging to the SC/ST or the OBC classes of citizens in the Board of Faculties of Commerce, the Vice-chancellor may nominate two members belonging to the SC/ST and OBC among the teachers of the university by rotation in the manner prescribed for one year.

Section - 27 (3) and 49 7.05. (b)

- Save as otherwise provided in this Chapter, members of the Board of Faculty other than ex see at 9 officio members, shall hold office for a period of three years.
- (2) The meetings of the Board of Faculty shall be convened under the directions of its Chairman.

Section - 27 (3) 7.06.

Subject to the provisions of the Act, the Board of each Faculty shall have the following powers, namely-

- to make recommendations to the Academic Council regarding the courses of study, after consulting the Boards of Studies concerned;
- to make recommendations to the Academic Council regarding the teaching and research work of the University in the subjects assigned to the Faculty;
- (iii) to consider and make recommendations to the Academic Council on any question, pertaining to its sphere of work which

<sup>\*</sup>Amended Vide Government Notification No.-811/satter-I-2003-15(89)/2002 dated 26:03:2003

may appear to it necessary and on any matter referred to it by the Academic Council.

Section - 27 (2)	7.07.		owing shall be the departure:	tments con	prised in the Faculty of	
		In case	of colleges teaching Agri		the first degree only, the	
Section - 27 (2)	7.08.	(b) De (c) De (d) De (e) De (f) De (f) De (i) De (i) De (k) De (1) The	partment of Agronomy partment of Genetics and partment of Soil Science partment of Agricultural partment of Plant Pathol partment of Agricultural partment of Horticultural partment of Agricultural partment of Agricultural partment of Soil Conser partment of Animal Huster following shall be the defects:	e and Agrice I Economic ogy Entomolog Engineerin Extension vation bandry and	ultural Chemistry s and Statistics sy Dairying	
		(3) (5) (7) (9) (11) (13) (15) (17) (19) (19) (19) (19) (19) (19) (19) (19	Urdu Drawing and Painting English Hindi Home Science Philosophy Psychology Sociology. Persian. Mathematics. Library & Information S	(14) (16) (18) *(20) cience **(22	Political Science Sanskrit, Statistics, Military Studies, Physical Education, DFashion Designing,	
Section - 27 (2)	7.09	Followi Comme (a) Co		nents comp	rised in the Faculty of	
Section - 27 (2)	7.10.		owing shall be the depa	rtment com	prised in the Faculty of	
Section - 27 (2)	*7.11.		The following shall be the Departments comprised in the Faculty of Science:			
		(i) (iii) (v) (vii) (ix) ***(xi) ****(xiii	Botany. Military Studies. Mathematics. Statistics. Economics Computer Science i) Nursing	(ii) (iv) (vi) (viii) (x) (xii) (xiv)	Chemistry Geography. Physics. Zoology. Geology Home Science Forestry	

<sup>\*</sup>E-517/G.S., dt. 03.07.2006

<sup>\*\*</sup>R.U./R.O./2020/470 Dated 25.11.2020

<sup>\*\*\*</sup>Amended Vide Chancellor's Order No. 1772/70-1/2001 Dated 11.8.99
\*\*\*Amended Vide Chancellor's Order No. E-6252/G.S. Dated 4.8.2010

		***(xv) Anthropology  ***(xvii) Biotechnology  ***(xviii) Biochemistry  ***(xix) B.Sc. (Honors)
Section - 27 (2)	****7.12	2 The following shall be the departments comprised in the Faculty of Applied Science  (i) Animal Science  (ii) Plant Science  (iii) Computer application  (iv) Material Science  (v) Environmental Science
Section - 27 (2)	7.13	The following shall be the departments comprised in the Faculty of Education:  (i) Education
Section - 27 (2)	7.14	The following shall be the departments comprised in the Faculty of Education and Allied science  (i) B.Ed./M.Ed. (Specialization in vocational education,
		computing, special education and applied M.Ed.)  *(ii) Mass Media Education  *(iii) Applied/Clinical Psychology  *(iv) Applied Philosophy  (v) Applied Social Science  (vi) Applied English  **(vii) B.PEd.  **(viii) B.EL.ED.
Section - 27 (2)	***7.15	The following shall be the departments comprised in the Faculty of Humanities & Social Science  (i) Ancient History and culture  (ii) Applied and Regional Economics  (iii) Practical Language Science  (iv) Social works  (v) Adult continuing and extension Education
Section - 27 (2)	****7.16.	The following shall be the departments comprised in the Faculty of Engineering and Technology  (i) Electronic and Communication  (ii) Computer Science and Information Technology  (iii) Instrumentation  (iv) Electrical Engineering  (v) Mechanical Engineering  (vi) Chemical Engineering  (vii) Materlergical Engineering  (viii) Pharmacy

<sup>\*</sup>Amended Vide Chancellor's Order No. 715/15 (15)/96-44(14)/96 Dated 18.3.96

\*\*Amended Vide Chancellor's Order No. 1662/15-GS>/2017 Dated 06.03-2017

\*\*\*Amended Vide Chancellor's Order No. E-1517/GS. Dated 03.7.2006

\*\*\*\*Amended Vide Chancellor's Order No. E-6989/GS. Dated 15.11.95, Amended Vide Chancellor's Order No. E-1890/GS. Dated 27.03.2006

		<ul><li>(ix) Applied Physics</li><li>(x) Applied Chemistry</li><li>(xi) Applied Mathematics</li><li>(xii) Humanities</li></ul>
Section - 27 (2)	*****7.17	The following shall be the departments comprised in the Faculty of Management  (i) Business Administration  (ii) Hotel and Catering Management  (iii) Tourism and Travel Management
Section - 27 (2)	7.18	The following shall be the departments comprised in the Faculty of Medical Science  (i) Anatomy  (ii) Physiology  (iii) Biochemistry  (iv) Pathology  (v) Pharmacology  (vi) Special and Preventive Medicine  (vii) Forensic Medicine  (viii) Medicine  (ix) Surgery  (x) Obstetrics and Gynecology  (xi) Ophelimix and E N T  (xii) Aurvedic  (xiii) Paramedical  (xiv) Nursing
Section - 27 (2)	*7.19.	The following shall be the departments comprised in the Faculty of Dental Science  (i) Oral Medicine and Radiology  (ii) Oral and Maxillofacial Surgery  (iii) Prosthodonliis  (iv) Periodontics  (v) Conservative dentistry  (vi) Paedodontics  (vii) Oral Pathology and Microbiology  (viii) Orthodontics  (ix) Community dentistry  (x) Dental Anatomy  (xi) Dental Material  (xii) General Human Anatomy  (xiii) General Physiology  (xiv) Biochemistry  (xv) General Medicine

<sup>\*</sup>Amended Vide Chancellor's Order No. E-1890/G.S. Dated 27.03.2006 \*\*Amended Vide Chancellor's Order No. E-3799/15 G.S./16 III Dated 6.5.2016

(xvi) General Surgery and Anesthesia

(xvii) Pharmacology

Section - 27 (2)

\*7.20 The following shall be the departments comprised in the Faculty of Fine Arts

- (i) Fine Arts
- (ii) Commercial Art
- (iii) Sculptural Art

Section - 27 (2)

"7.21 Faculty of Ayurved and Unani

The following shall be the departments in this faculty:

- Sanskrit Shanhita & Basic Principal Vibhag
- 2. Kriya Sharir Vibhag (Physiology)
- 3. Rachna Sharir Vibhag (Anatomy)
- 4. Dravyagun Vigyan Vibhag (Pharmacology)
- Agad Tantra, Vyavahar Ayurveda Evam Vidhivaidyak (Toxicology and Jurisprudence)
- 6. Ras Shasta Evam Bhaisajya Kalpana (Drug Pharmacy)
- 7. Rog Nidan Vibhag (Pathology)
- 8. Swathvritta (Community Medicine)
- Prasuti Tantra Evam Stri Rogan Vibhag (Obstetrics and Gynaecology)
- 10. Kaumarbhritya Balroag Vibhag (Paediatrics)
- 11. Kayachikitsa Vibhag (General Medicine)
- 12. Panchakarma Vibhag
- 13. Shalya Tantra Vibhag (Surgery)
- Shalaka Tantra Vibhag (Eye and E.N.T.)

For B.U.M.S. Course

- 15. Kulliyat
- Tashrihul Badan
- 17. Munaful Aaza
- 18. Ilmul Adbiya
- 19. Ilmul Saidla
- Mahiyatul Amraaj
- 21. Lahaffuji & Samaji Tibb
- 22. Mualijaat
- 23. Nisba & Kabalat
- 24. Ilmul Atfaal
- 25. Zarahat
- 26. Ain-Ujn-Anf-Halq & Asnan
- Amraje Jild & Tajiniyat
- 28. Ilaz vid tadbeer

<sup>&</sup>quot;Amended Vide Chancellor's Order No. E-1890/G.S. Dated 27.03.2006

Section - 27 (2)

\*7.22

Provided that nothing contained in this Chapter shall be construed to authorize the opening of any Department of teaching in the University not in existence at the commencement of these Statutes unless prior approval of the Chancellor has been obtained and necessary grant for it has been secured.

## CHAPTER VIII OTHER AUTHORITIES AND BODIES OF THE UNIVERSITY

#### The Disciplinary Committee

Section - 49

8.01.

(1) The Executive Council shall constitute, for such term as it thinks fit, a The Disciplinary Committee in the University which shall consist of the Vice Chancellor and two other persons nominated by it:

Provided that if the Executive Council considers it expedient, it may constitute more than one such Committee to consider different cases or classes of cases.

- (2) The Executive Council may at any stage transfer any case from one Disciplinary Committee to another Disciplinary Committee. Provided that if there is no member belonging to the SC/ST or the OBC classes of citizens in the Disciplinary committee the Vice Chancellor may nominate two members belonging to the S.C./S.T. and OBC among the teachers of the university by rotation in the manner prescribed for one year.
- The functions of the Disciplinary Committee shall be as follows:-
  - (a) to decide any appeal preferred by an employee of the University under Statute 2.07.
  - (b) to exercise such other powers and perform such other functions as may, from time to time be entrusted to it by the Executive Council.
  - (2) In case of difference of opinion among members of the Committee, the decision of the majority shall prevail.
  - (3) The decision or the report of the Disciplinary Committee shall be laid before the Executive Council as early as possible to enable the Executive council to take its decision in the matter.

#### The Examination Committee

Section - 29 and 49 (a) 8.03.

The Examination Committee may on the recommendation of the person or persons or the sub committee referred to in sub-section (3) of section 29, debar an examinee from appearing in any future examination or examinations if in the opinion of the Committee, such examinee was guilty of misbehavior or of using unfair means at any examination conducted by the University.

<sup>\*</sup>Amended Vide Government Notification No. 811/Satter-1-2003/5(89)/2002 Dated 26.3.2003

<sup>\*\*</sup>U.P. Govt. Notification No. 6806/XV-X-86-10 (1)/85 Dated March 31, 1987, R.U. 15th Amendment, Statutes 1987

\*Provided that if there is no member belonging to the S.C./S.T. or the OBC Classes of citizens in the examinations committee the vice chancellor shall nominate two members belonging to the S.C./S.T. and OBC among the teachers of the university by rotation in the manner prescribed by one year.

#### The Departmental Committee

- \*\*8.04 There shall be a Departmental Committee in each Department of teaching in the University to assist the Head of the Department appointed under Statutes 2.20.
- 8.05 The Departmental Committee shall consist of -
  - (i) The Head of the Department, who shall be the Chairman;
  - (ii) All professors in the Department, and if there is no professor, than all Associate Professor in the department;
  - (iii) In a department which has Professors as well as Associate Professors, than two Associate Professors by rotation according to seniority for a period of three years;
  - (iv) In a department which has Associate Professors as well as Assistant Professors, than one Assistant Professors and in a department which has no Associate Professors, than two Assistant Professors by rotation according to seniority for a period of three years;

Provided that for any matter specifically concerning any subject or specialty the senior most teachers of that subject or specialty, if not already included in the foregoing heads, shall be specially invited for that matter.

\*Provided that if there is no member belonging to the S.C./S.T. or the OBC classes of citizens is the Departmental committee the Vice Chancellor shall nominate two members belonging to the S.C./S.T. and OBC Classes each among the teachers of the university by rotation is the manner prescribed for one year.

- 8.06 The following shall be the functions of the Departmental Committee:
  - to make recommendations regarding distribution of teaching work among the teachers of the department;
  - (ii) to make suggestion regarding co-ordination of the research and other activities in the department;
  - (iii) to make recommendation regarding appointment of staff in the Department for which the Head of the Department is the appointing authority;
  - (iv) to consider matters of general and academic interest to the Department.
- 8.07 The Committee shall meet at least once in a quarter. The minutes of its meeting shall be submitted to the Vice Chancellor.

<sup>\*</sup>Govt. Order No.-811/satter-I-2003-15(89)/2002 dated 26.03.2003

#### CHAPTER IX BOARDS

Section - 49 9.01. The University may in addition to the Boards of Faculties and the Boards of Studies, have the Board of Students Welfare.

Section - 49 and 51 9.02 The powers, functions and the constitution of the Board of Students Welfare shall be such as may be laid down in the Ordinances:

\*Provided that the Ordinances relating to the Board of students Welfare shall provide for the representation of the students also and the term of such student representatives shall be one year.

Provided that if there is no member belonging to the S.C./S.T. or the OBC Classes of the citizens in the Boards of Faculties/Boards the Vice Chancellor may nominate two members belonging to the SC/ST and OBC among the teachers of the University by rotation in the manner prescriveded for one year.

#### CHAPTER IX-A \*\*CLASSIFICATION OF TEACHERS

Section - 31 and 49 (d) \*\*\*9.01-A There shall be following classes of teachers of the University:-

- (1) Professors:
- (2) Associate Professors, and
- (3) Assistant Professors

Section - 41 and 49 (d) 9.02-A Teachers of the University shall be appointed in the subjects on wholetime basis in the scales of pay approved by the State Government:

#Provided that part-time Assistant Professors may be appointed in subjects in which, in the opinion of the Academic Council such Assistant Professors are required in the interest of teaching or for other reasons. Such part-time Assistant Professors may receive salary ordinarily not exceeding one-half of the initial salary of the scale for the post to which they are appointed. Persons working as Research Fellows or as Research Assistants may be called upon to act as Part-time Assistant Professors.

Section - 31 and 49 (d) 9.03-A

- (a) The Executive Council may, on the recommendations of the Academic council appoint:
  - Professors of academic eminence and outstanding merit on special contract in accordance in that behalf.
  - (2) Honorary Emeritus Professors who shall:
    - (i) deliver lectures on special subject;
    - (ii) guide research;
    - (iii) be entitled to be present in meeting of the Board of faculty concerned and to take part in its discussions but will not have the right of vote;

#UP Govt. Notification No.1085/Seventy-1-2000-15(40)/2000 dt. 09.10.2000

<sup>\*</sup>Govt. Order No.-811/satter-1-2003-15(89)/2002 dated 26.03.2003

<sup>\*\*</sup>G.O.No. 2840/70-1-2010. dt. Dec. 31, 2010

<sup>\*\*\*</sup>No. 377/70-1-2013-16 (114)/2010, dt. Dec. 30, 2013

- (iv) be provided with facilities for study and research in the libraries and laboratories of University as far as possible; and
- (v) be entitled to attend all Convocations:
- (vi) Provided that a person shall not, merely by virtue of holding the post of a Professor in the Department as an Honorary Emeritus Professor, be eligible to hold any office in the University or in any Authority or Body thereof.

Section - 21 (1) (xvii), 9.04 31 and 49 (o)

Instructors or teaching Research Assistants may be appointed by the Executive Council on such terms and conditions as may be provided for in the Ordinances.

Section - 31 and 49 (o) 9.05

(a) The Principal and other teachers of affiliated colleges shall be employed on whole time basis in the scales of pay approved by the State Government.

Section - 49 (o) 9.08

No Part-time teacher in an affiliated college shall hold any other office in that college.

# CHAPTER X \*QUALIFICATIONS AND APPOINTMENT OF TEACHERS AND LIBRARY CADRE IN THE UNIVERSITY AND AFFILIATED COLLEGES

(The Qualifications and Appointment (as well as Promotion under Career Advancement Scheme) of teachers and library cadre in the university and its colleges)

Section - 49

- \*\*10.01. The Qualifications and Appointment (as well as Promotion under Career Advancement Scheme) of teachers and library cadre in the university and its colleges shall be the same as laid down by the University Grants Commission ,New Delhi and to what extent approved from time to time by the Government of Uttar Pradesh. Save as otherwise provided in these statutes and subject to the general provisions contained in Statute.
- 10.02 Direct Recrurtment of Teachers and Library Cadre in University and Colleges: Same as otherwise provided in these statutes and subject to the general provisions contained in Statue for the minimum eligibility criteria shall be governed by the provisions mentioned in annexure. \*\*Provided that Appointment of Teachers for the course under Self-Financing Scheme in the Affiliated College of the University, as per Govt. Notification Provided by time to time.

<sup>\*</sup>No. 377/70-1-2013-16(114)2010/2469, dt. Dec. 03, 2013 & U.P. Govt. notification 2018/Vide Chancellor Order No. E-3019/32-GS./ 2020, DT. 18.05.2021

<sup>\*\*</sup> Substituted Vide Govt, order No. 5699/Seventy-2-2007-2(85)97, dated 11.01.2008 & Vide Govt, Order NO. 2218/Seventy-2-2011-16(409)/2010, dated 23.08.2011

- 10.03 The General Provision for Recruitment (as well as Promotion under Career Advancement Scheme) and minimum eligibility criteria shall be governed by the provisions mentioned in Appendix's:
  - Annexure-A..... [UGC Regulations on Minimum Qualifications for Appointment of teachers and other academic staff in Universities and Colleges and measures for the maintenance of standards in higher education, 2010]
  - II Annexure-B..... [UGC Regulations on Minimum Qualifications for Appointment of teachers and other academic staff in Universities and Colleges and measures for the maintenance of standards in higher education, 2016]
  - III Annexure- C..... [UGC Regulations on Minimum Qualifications for Appointment of teachers and other academic staff in Universities and Colleges and measures for the maintenance of standards in higher education, 2018]
- 10.04 The advertisement of vacancy referred to in section 31 (10) shall ordinarily allow at least three weeks and time from the date of the last issue of newspapers in which the advertisement is published, to the candidates to apply for the vacancy.
- Meetings of the Selection Committee for appointment of teachers in the University shall be convened under the orders of the Vice-Chancellor.
  - (2) The Selection Committee shall not consider the name of a person for appointment as teacher of University unless he applies for it:

Provided that the case of appointment of a Professor the committee may with the approval of the Vice chancellor, consider the name of the persons who have not applied.

- (3) A member of the Selection Committee shall withdraw, from a meeting of the Committee or of the Executive Council, as the case may be, if the question of appointment of any of the relatives (as defined in the Explanation to section 20) of such member is being or likely to be considered at such meeting.
- (1) If the Selection Committee recommends more than one candidate for appointment, it may in its discretion arrange their names in order of preference. Where the Committee decides to arrange the members in order of preference, it shall be deemed to have signified that in the event of the first being not available, the second may be appointed, and in the event of the second also being not available, the third may be appointed, and so on.
- (2) The Selection Committee may recommend that no suitable candidate for appointment is available. In such a case, the post shall be re-advertised.

Section - 30 and 31 10.06

Section - 49 (b)	10.07	The recommendations of the Selection Committee and proceedings of the Executive Council pertaining there to shall be treated as strictly confidential.	
Section - 21 (1) (xvii),	10.08	If the work and conduct of a teacher appointed under section 31:	
31 and 49 (d)		<ul> <li>is considered satisfactory, the Executive Council may at the end of period of probation (including the extended period, if any) confirm the teacher;</li> </ul>	
		(ii) is not considered satisfactory, the Executive Council may terminate the services of the teacher in accordance with the provisions of section 31 during or on the expiry of the period of probation (including the extended period, if any.)	
Section - 31 and 49 (d)	10.09	The Selection Committee shall meet at the Headquarters of the University.	
Section - 31 and 49 (d)	10.10	Members of the Selection Committee shall be given not less than fifteen days' notice of the meeting reckoned from the date of dispatch of such notice. The notice shall be served either personally or by registered Post.	
Section - 31 and 49 (d)	10.11	At least fifteen days' notice reckoned from the date of dispatch shall be given to the candidates prior to the meeting of the Selection Committee. The notice shall be served either personally or by registered post.	
Section - 49 (b)	10.12	The traveling and daily allowances of the members of the Selection Committee shall be paid by the university at the rates prescribed by the ordinance.	
	10.13	In every special circumstances and on the recommendation of the Selection Committee, the Executive Council may allow, up to five advance increments, at the time of initial appointment, to such teachers as possess exceptionally high academic attainment and experience.	
		If in any case it is necessary to give more than five advance increments, prior approval of the State Government shall be obtained before making the appointment.	
		CHAPTER XI AFFILIATED COLLEGES	
Section - 37	11.01	The list of colleges affilated to the University as on the date of the publication of these statutes is given in appendix - D	
		*Affiliation of New Colleges	
Section - 37 and 49 (m)	11.02	Every application for affiliation of a College shall be made so as to reach the Registrar not less than 12 months before the commencement of the session in respect of which the affiliation is sought:	

<sup>\*</sup>As Amended by U.P. Govt. G.O. No. 3075/Satter-2-2002-2(166)/2020 Dated 27 Sep., 2002. As Amended by U.P. Govt. G.O. No. 3411/Satter-2-2002-21(66)/2002 Dated 11 Oct., 2002. As Amended by U.P. Govt. G.O. No. 959/Satter-2-2005-2(85)/1997 Dated 11 March, 2005. As Amended by U.P. Govt. G.O. No. 397/Satter-2-2005-2(166)/2002 Dated 11 Feb., 2005. As Amended by U.P. Govt. G.O. No. 2443/Satter-2-2000-2(85)/1997 Dated 9 May, 2000.

<sup>\*</sup>As per rules & regulations provided under university affliation. Application Form/Booklet and Amendment made by Government/ Competent Authorithies time to time. - 33 -

Provided that the Chancellor may, in special circumstances, reduce the said period in the interest of higher education to such extent as he may deem necessary.

Section - 31 and 49 (m) 11.03

Any new college(s) seeking affiliation may be granted affiliation in accordance with the rules prescribed in this regard in Appendix "..."

Section - 31 and 49 (m) 11.04.

Before an application for affiliation is placed before the Executive Council, the Vice Chancellor must be satisfied with regard to the following particulars, namely:-

- (a) That the provisions of Statutes 11.05, 11.06 and 11.07 have been complied with;
- (b) That the, institution satisfies the demand for higher education in the locality;
- (c) That the Management concerned has provided or has adequate financial resources to provide for-
  - (i) suitable and sufficient building:
  - (ii) adequate library, furniture, stationery, equipment and laboratory facilities;
  - (iii) two hectares of land (excluding covered area);
  - (iv) Facilities for health and recreation of the students;
  - (v) payment of salary and other allowances to the employees of the college for at least three years.

Section - 37 and 49 (m) 11.05.

The constitution of the Management of every college shall provide that-

- (a) the Principal of the College shall be ex officio member of the Management;
- (b) twenty-five per centum of the members of the Management, are teachers (including the Principal);
- (c) the teachers (excluding the Principal) referred to in clause (b) are such members for a period of one year by rotation in order of seniority;
- (d) subject to the provisions of clause (c) no two members of the Management shall be related to each other within the meaning of the Explanation to section 20:
- (e) no change in the said constitution shall be made except with the prior permission of the Vice Chancellor;
- (f) One member of the Management shall be from the non-teaching class III employees of the college selected for a period of one year by rotation in order of seniority.
- (g) if any question arises whether any person has been duly chosen as, or is entitled to be a member or office bearer of the Management or whether the Management is legally constituted, the decision of the Vice Chancellor shall be final.

- (h) the college is prepared to place before any person or persons authorized by the Vice-Chancellor or before the Panel of inspections appointed by the University all original documents pertaining to income and expenditure of the college including the accounts of the Society, Trust, Board or Parent body under which it may be operating.
- the income from the Endowment Funds referred to in Statute 11.06 shall be available for the maintenance of the College.

Section - 37 and 49 (m) 11.06.

- (1) For every college (other than a college exclusively maintained by the State Government or by a local authority), there shall be a separate endowment fund which shall be pledged with the Register of the University and which shall not be alienated so long as the college continues to exist, As per Affiliated college Appendix-D
- (2) If the college wants affiliation up to postgraduate standard, additional Endowment Fund of the value per subject in the case of Arts, Commerce, or Law and per subject in the case of Science or Agriculture shall have to be provided for As per Affiliated college Appendix-D
- (3) Such Endowment Funds shall be invested in. Fixed Deposit Account of a Scheduled Bank or in such other manner as the University may direct.

11.07

Section - 37 and 49 (m)

A college seeking affiliation in any course requiring laboratory work shall further satisfy the University that-

- (a) Separate laboratories are provided in each branch of Science and that each of them is suitably equipped, and.
- (b) Sufficient and suitable apparatus and equipment are provided for the carrying of experimental work.

11.08

Section - 37 and 49

If the Vice Chancellor is satisfied with regard to matters in the preceding Statutes, the application shall be placed before the Executive Council which shall appoint a panel of Inspectors to inspect the college and make a detailed report on all relevant matters. The Panel so appointed shall include the Regional Deputy Director of Education in the case of a Boy's or co-educational college and the Regional Inspectors in the case of a Girls college.

11.09.

Section - 37 and 49 (m)

Ordinarily all inspections shall be completed within 4 months of the receipt of an application for affiliation. No application for affiliation shall be granted by the Executive Council unless it is satisfied about the financial soundness and of the available resources of the college proposed to be affiliated on the report of the panel of Inspectors. The process of grant or refusal of application should ordinarily be completed before 15th May of the year in which it is proposed to start the classes.

Section - 37 and 49 (m) 11.10. Where the affiliation to a college is granted subject to certain conditions, the college shall not admit or register students unless the Vice Chancellor after due inspection has issued a certificate that the conditions imposed by the University have been duly fulfilled. If there are practical difficulties for the Vice-Chancellor to inspect the college personally, he may nominate a qualified person or persons to inspect the college concerned.

## Affiliation of Colleges for New Degrees or Additional Subjects\*

	ZXIIIII	ation of Coneges for New Degrees of Additional Subjects
Section - 37 and 49 (m)	11.11.	Every application from an affiliated college for starting courses of instructions for a new degree or in new subjects shall be made so as to reach the Registrar before the 15th of August of the year preceding the one in which it is proposed to start such course.
Section - 37 and 49 (m)	11.12	Each college applying for affiliation for a new degree or for a new subject, shall remit with its application a sum of Rs. 200 for each subject with a minimum of Rs. 400 and a maximum of Rs. 1000 which will be non-refundable.
Section - 37 and 49 (m)	11.13.	No application for affiliation in a new subject shall be considered unless the Registrar gives a certificate in writing that the conditions of affiliation and of previous affiliation have been fulfilled in total.
Section - 37 and 49 (m)	11.14.	If the Vice Chancellor is satisfied in regard to the need for such affliction and if the college has fulfilled and continues to fulfill all conditions of previous affiliation shall be placed before the Executive Council which shall appoint a panel of Inspectors and the provisions of Statute 11.08 shall apply.
Section - 37 and 49 (m)	11.15	Ordinarily, all inspections referred to in Statute 11.14 shall be completed by the end of October, to enable the Executive Council of the University to scrutinize the reports of inspection well in time.
Section - 37 and 49 (m)	11.16.	Restrictions imposed by Statute 11.10 shall apply to an Affiliated College applying for affiliation for new degree or additional subjects.
Section - 37 and 49 (m)	11.17.	Every affiliated college shall strictly observe the rules laid down by the University regarding admission to colleges, residence and discipline of students.
Section - 37 and 49 (m)	11.18	Every affiliated college shall make available to the University its buildings, libraries and laboratories with their equipments and appurtenances and also the services of such of its teaching and other staff as may be necessary for the purposes of conducting the University examinations.
Section - 37 and 49 (m)	11.19.	Every affiliated college shall have on its staff teachers having such qualifications who shall be given - such grades of pay, and be governed by such other conditions of service as may be laid down

Government in that behalf:

from time to time in the Ordinances or in the orders of the State

<sup>\*</sup>As per rule and regulation provided under university affiliation booklet/form and ammended by government/competent authority time to time.

Provided that no Ordinance relating to grades of pay and qualifications shall be made without the prior approval of the State Government.

Section - 37 and 49 (m) 11.20.

When the office of the Principal of an affiliated college falls vacant, the Management may appoint any teacher to officiate as Principal for a period of three months or until the appointment of a regular Principal, whichever is earlier. If on or before the expiry of the period of three months, any regular Principal is not appointed, or such a Principal does not assume office the senior-most teacher in the college shall officiate as principal of such college until a regular Principal is appointed.

Section - 37 and 49 (m) 11.21.

Every affiliated college shall observe the conditions set out in Statutes.

Provided that in the case of a college affiliated before the commencement of these Statutes, the Vice Chancellor may require the Management of such college to fulfill and observe such of the conditions set out in Statutes 11.04, 11.06 and 11.07 which the Vice-Chancellor considers reasonable:

Provided further that if the Management of such college fails to comply with the requirements' issued under the preceding proviso within the time specified by the Vice Chancellor, the Vice Chancellor may take step for the withdrawal of the affiliation in accordance with Statutes 11.28 to 11.32.

Section - 37 and 49 (m) 11.22.

Every affiliated college shall by 15th August every year submit to the Registrar a certificate from the Principal that the conditions laid down for, affiliation have continued to be fulfilled.

Section - 37 and 49 (m) 11.23.

Every affiliated college shall maintain the "registers" required for affiliated college and shall from time to time furnish to the Registrar returns in such forms as may be required by the University.

Section - 37 and 49 (m) 11.24.

- Where the Executive Council or the Vice Chancellor causes any affiliated college to be inspected it or he may communicate to the college the result of such inspection together with its or his views thereon and direct the Management regarding the action to be taken,
- (2) Where the Management of an affiliated college does not take action to the satisfaction of the Executive Council, or the Vice-Chancellor, the Council may either on its own motion or on a report to the effect received from the Vice Chancellor after considering any explanation furnished or representation made by the Management, issue such directions as it may think fit, and the Management shall comply with such direction, failing which the Executive Council may proceed to take action under or in accordance with Statute 11.31.

Section - 37 and 49 (m)	11.25	Information regarding all posts in the teaching staff of the college that fall vacant temporarily or permanently shall be communicated to the Registrar within 15 days of their falling vacant.
Section - 37 and 49 (m)	11.26.	The number of students in a class or section in an affiliated college shall not, except with the previous permission of the Vice Chancellor, exceed 60 for purposes of lectures in the class room but in no case, shall exceed 80.
Section - 37 and 49 (m)	11.27.	Before a new section in any class is opened by any college, full information regarding additional staff: required (together with their qualifications and salaries, time table for the new section, accommodation available and provision for additional equipment and library facilities) shall be send to the University and prior permission of the Vice Chancellor be obtained.
		Withdrawal of Affiliation
Section - 37 and 49 (m)	11.28.	Continuance of affiliation shall depend on continued fulfillment of conditions laid down by the University.
Section - 37 and 49 (m)	11,29.	An affiliated college shall be deemed to have been dis-affiliated if it fails to send up any candidate for an examination conducted by the University for three successive years.
Section - 37 and 49 (m)	11.30.	The Executive council may direct a college not to admit students to a particular class if the conditions laid down for starting the class have in the opinion of the Executive Council been disregarded by the college concerned. The classes may, however, be restarted with the prior permission of the Executive Council when the conditions are fulfilled to its satisfaction.
Section - 37(8) and 49 (m)	11.31	If a college disregards the requirements of the University regarding the fulfillment of the conditions of affiliation and fails to fulfillt the conditions in spite of notice issued by the University, the Executive Council may with the previous sanction of the Chancellor, suspend the affiliation till the conditions are fulfilled to the satisfaction of the Executive Council.
Section - 37(8) and 49 (m)	11.32.	(1)* The Executive Council may deprive an affiliated college of the privileges of affiliation either wholly or for any degree or subject, if it fails to comply with the directions of the Executive Councilor or to fulfill the conditions of affiliation or for gross mismanagement or if for any other reason the Executive Council is of opinion that the college should be deprived of such affiliation.
		(2) If the salaries of the staff are not paid regularly, or if the teachers are not paid their salaries to which they were entitled under the Statuettes or the Ordinances, the college concerned, would be liable to withdrawal of affiliation within the meaning of this

<sup>\*</sup>Amended by U.P. State University Act (second amendment) - 18 July, 2014

Statute.

Section - 37(8) and 49 11.33. The Executive Council shall before taking any action under the preceding Statutes call upon a college to take, within a specified period such action as may appear to be necessary in respect of any of the matters referred to in the conditions of affiliation. Section - 49 (o) 11.34. Whenever there is a dispute regarding the management of an affiliated college, persons found by the Vice Chancellor to be in actual possession and control of the college properties may, for purposes of the Act and these Statutes be recognized to constitute the Management of such college until a court of competent jurisdiction orders otherwise: Provided that Vice Chancellor shall before making an order under this Statute afford an opportunity to the rival claimants to make written representations. Explanation-In determining the question as to who is in actual possession and control of the college properties the Vice Chancellor shall have regard to the control over the funds of the Institution and over the actual administration, the receipt of the income from the property of the Institution and to other relevant circumstances which might have bearing on the question to be determined. Finance, Audit and Accounts (a) The Management of each affiliated college shall be assisted by 11.35. Section - 49 a Finance Committee which shall consist of;-(i) the President or the Secretary of the Management, who shall be the Chairman: (ii) two other members elected by the Management from amongst themselves; (iii) the Principal (Ex-officio), (iv) the senior-most teacher-member of the Management (Exofficio): (b) The Principal of the College shall be the Secretary of the Finance Committee and be entitled to convene its meeting. Section - 49 11.36. The Finance Committee shall prepare the annual budget of the college (except of the Student Funds) which shall be placed before the Management for their consideration and approval. Section - 49 11.37. New expenditure, not already included in the budget of the college, shall not be incurred without references to the Finance Committee. Section - 49 11.38. The recurring expenditure provided for in the budget shall be controlled by the Principal subject to any specific directions that may be given by the Finance Committee. Section - 49 11.39. A Students Funds shall be administered by the Principal assisted by different committees such as Games and Sport Committee, Magazine Committee, Reading Room Committee, and the like which shall include representatives of students of the college concerned.

Section - 49	11.40.	Accounts of the Students Funds shall be audited by a qualified auditor
Section - 49	111100	appointed by the Management not from amongst its members. The audit fees will be a legitimate charge on the Students' Funds of the college. The audit reports shall be placed before the Management.
Section - 49	11,41.	The Students' Fund and the fee income from the Hostels shall not be transferred to other fund and no loan shall be taken from these funds for any purpose whatsoever.
		CHAPTER XII
		CONFERMENT AND WITHDRAWAL OF
		DEGREE AND DIPLOMAS
Section - 7(6), 10, (2) and (h)	12.01.	(1) The Degree of Doctor of Letters (D.Litt.) or Mahamaho-padhyaya, Honoris Causa, may be conferred upon such persons as have contributed substantially to the advancement of Literature, Philosophy, Art, Music, padhyaya, Honoris Causa, may be conferred upon such persons as have continuous services rendered by them to the cause of education.
		(2) The degree of Doctor of Science (D. Sc.) Honoris Causa, may be conferred upon such persons as have contributed substantially to the advancement of any branch of science or technology or to planning organizing or developing scientific and technological institutions in the country.
		(3) The degree of Doctor of Laws (LL. D.) Honoris Causa may be conferred upon persons who are distinguished lawyers, judges or jurists or statesmen who have noteworthy contribution to the public good.
Section - 7(6), 10, (2) and 49(h)	12.02.	The Executive Council may, suo motu or on the recommendation of the Academic Council by a resolution passed by a majority of its total membership and also of not less than two-thirds of the members present and voting submit a proposal or conferment of honorary degree, to the Chancellor for confirmation under section 10 (2):
		Provided that no such proposal shall be submitted in respect of a person who is a member of any authority or body of the University.
Section - 49(1) and 67	12.03.	Before taking any action under section 67 for the withdrawal of any degree, diploma or certificate conferred or granted by the University the person concerned shall be given an opportunity to explain the charges against him. The charges framed against him shall be communicated by the Registrar by registered post and the person concerned shall be required to submit his explanation within a period of not less than fifteen days of the receipt of the charges.
Section - 49(1), 67	12.04.	Every proposal for the withdrawal of an honorary sections 49 degree shall require previous sanction of the Chancellor.
	12.05	*(a) An Institute may be recognized by the Executive Council as an Institution where research may be carried on in the fulfillment

<sup>\*</sup>U.P. Govt. Notification No. 6789/XV-X--88-15(226)/84 Dated March 25, 1989, R.U. 22nd Amendement, Statutes 1989

of the requirements of section 7(4) (b) of the Act after it has been recommended by the Academic Council made with the concurrence of the Board of the Faculty concerned.

- (b) The Management of the Institute so recognized shall vest in,
  - a Committee of Management or other equivalent body appointed by the person or the body maintain the Institute, the constitution of which shall be reported to the Executive Council.
  - (ii) a Director appointed by the person or the body maintain the Institute
- (c) Research work in a recognized Institute may be guided by the Director and other teachers of the Institute who may be recognized as supervisors or advisors for the D.Litt. or D.Sc. or LL.D or D.Phil., degree of the University.
- (d) The Director and other teachers of the Institute, if they so agree, may deliver a course of advance Assistant Professor to research students of the University with the consent of the Head of the Department concerned.
- (e) Any person having requisite qualifications desirous of carrying on research work at the Institute for research degree of the University shall make an application to the Registrar though the Director of the Institute. The applications so received shall be place before the research Degree Committee of the University constituted under Ordinances and, if approved by the Committee the applicant shall be permitted to start work on payment of such fees as may be prescribed by the Ordinances.
- (f) Any specific grant or donation received for an Institute shall be remarked for the Institute and spent on the Institute. No part of the grant of a corresponding department of teaching in the University shall be spent for any Institute.

# CHAPTER XIII CONVOCATION

Section - 49 (R)

13.01.

- A Convocation for Conferring its degrees, diplomas and the other academic distinctions may be held by the University not more than once in a year on such date and at such time as the Executive Council may appoint.
  - (2) A special convocation may be held by the University with the prior approval of the Chancellor.
  - (3) The convocation shall consist of the persons specified in subsection (1) of section 3 as constituting the body corporate of the University.

Section - 49 (r)	13.02.	A local convocation may be held at each affiliated college on such date and such time as the Principal may with the prior approval of the Vice-Chancellor in writing.
Section - 49 (r)	13.03.	Combined convocations may be held by two or more colleges in the manner prescribed in Statute 13.02.
Section - 49 (r)	13.04.	The procedure to be observed at the Convocations referred to in this Chapter and other matters connected therewith shall be such as may be laid down in the Ordinances.
Section - 49 (r)	13.05.	Where the University, or any affiliated college does not find it convenient to hold the convocation in accordance with Statute 13.01 to Statute 13.04 the degrees diplomas and other academic distinctions may be dispatched to the candidates concerned by registered post.
		CHAPTER XIV-A PART I
		*Conditions of Services of Teachers of the University
Section - 49 (d)	14.01-A	Except in the case of an appointment referred to in Statute 9.01 or appointment under section 31 (3) in a vacancy caused by the grant of leave to a teacher for a period not exceeding 10 months, teacher of the University shall be appointed on a written contract in the form set out in Appendix 'BB'
Section - 49 (d)	14.02-A	A teacher of the University shall at all times maintain absolute integrity and devotion to duty and shall observe the Code of Conduct professional ethics mentioned in Statute 14.34 and the code of conduct as set out in Appendix 'C', which shall form part of the agreement to be signed by the teacher at the time of appointment.
Section - 49 (d)	14.03-A	A breach of any of the provisions of the Code of professional ethics mentioned in statute 14.34 and the code of conduct as set out in Appendix 'C' shall be deemed to be a misconduct within the meaning of Statute 14.04-A(1).
Section - 49 (d)	14.04-A	(1) A teacher of the University may be dismissed or removed or his services terminated on one or more of the following grounds:-
		(a) willful neglect of duty;

- (a) willful neglect of duty;
  - (b) misconduct,
  - (c) breach of any of the terms of contract of service;
  - (d) dishonesty connected with the University examinations;
  - (e) scandalous conduct or conviction for an offence involving moral turpitude;
  - (f) physical or mental unfitness;
  - (g) incompetence;
  - (h) abolition of the post with the prior approval the Vice Chancellor;
- (2) Except as provided by Section 31 (2), not less than three months' notice (or where notice is given after the month of October,

<sup>\*</sup>U.P. Govt. Notification No. 6806/15-10-86-10 (1)/85 Dated March 31, 1987, R.U. 15th Amendment, Statutes 1987

then three months' notice or notice ending with the close of the session whichever is longer) shall be given on either side for terminating the contract of service or in lieu of such notice, salary for three months (or such longer period as aforesaid) shall be paid or refunded, as the case may be:

Provided that where the University dismisses or removes or terminates the services of a teacher, under clause (1) or when the teacher terminates the contract for breach of any of its terms by the University, no such notice shall be necessary:

Provided further that the parties will be free to waive the condition of notice, in whole or in part by mutual agreement.

Section - 32 and 49 (d) 14.05-A

The original contract of appointment referred to in section 32 shall be lodged with the Registrar for registration within three months of the date of joining; such contract shall duly stamp as per the rates applicable.

The self-appraisal or linked Performance Based Appraisal System (PBAS) methodology shall form part of the service contract/record.

Section - 21(i) (xvii) 14.06-A (l) and 49 (d)

- (1) No order dismissing, removing or terminating the services of a teacher of the University on any ground mentioned in clause (1) of Statute 14.04 (except in the case of a conviction for an offence involving moral turpitude or of abolition of post) shall be passed unless a charge has been framed against the teacher and communicated to him with a statement of the grounds on which it is proposed to take action and he has been given adequate opportunity -
  - (i) of submitting a written statement of his defense;
  - (ii) of being heard in person, if he so desires; and
  - (iii) of calling and examining such witnesses in his defense as he may desire:

Provided that the Executive Council or an officer authorized by it on conduct the inquiry may, for sufficient reasons to be recorded in written refuse to call any witness.

- (2) The Executive Council may be, at any time ordinary within two months from the date of the enquiry Officer's report, pass a resolution dismissing or removing the teacher concerned from service or terminating his services mentioning the grounds of such dismissal, removal or termination.
- The resolution shall forthwith be communicated to the teacher concerned.
- (4) The Executive Council may, instead of dismissing removing or terminating the service of the teacher, pass a resolution inflicting one or more of the lesser punishment, namely, reducing the pay of teacher for a specify period not exceeding three years, stopping increments of his salary for a specify period and depriving the teacher of his pay (but not subsistence allowance) during the period of his suspension, if any.

Section - 21 (1) (xviii), 14.07-A (1) 49 (d) The disciplinary committee referred to in statute 8.01 my recommend the suspension of a teacher during the pendency or in contemplation of an inquiry into charges against him/her, on the ground mentioned in sub-clause (A) 2 (e) of Clause (1) of Statute 14.04. The order of suspension, if passed in contemplation of an inquiry shall cease to be in operations on the expiry of four weeks unless the teacher has in the mean time being communicated the charges on which the inquiry was contemplated.

- (2) A teacher of University shall be deemed to have been placed under suspension-
  - (a) with effect from the date of his conviction, if in the event of a conviction for an offense, he is sentenced to a term of imprisonment exceeding 48 hours and is not forth with dismissed or removed consequent to such conviction;
  - (b) In any other case, for the duration of his detention, if he is detained in custody, whether the detention is for any criminal charge or otherwise.

Explanation-The period of 48 hours referred to in sub-clause (a) of this clause, shall be computed from the commencement of the imprisonment after the conviction and for this purpose, intermittent periods of imprisonment, if any, shall be taken into account.

- (3) Where the order of dismissal or removal from service of a teacher of the University is set aside or declared or rendered void in consequence of any proceedings under the Act of these Statutes or otherwise, and the appropriate officer, authority or body of the University decides to hold a further inquiry against him, then if the teacher was under suspension immediately before such dismissal or removal, the suspension order shall be deemed to have continued in force on and form the date of original order of dismissal or removal.
- (4) During the period of this suspension, the teacher of the University shall be entitled to get subsistence allowance in accordance with the provisions of Chapter VII of part II of the U.P. Govt.'s Financial Hand Book vol. II (as amended time to time) which shall mutatis mutandis apply.

Section - 21 (1) (xvii), 14.08-A 49 (d)

In computing the maximum period for purposes of clause (2) of Statute 14.06 and Statute 14.06 any period during which a stay order from any court of law is in operation shall be excluded.

Section - 34 (1) 14.09-A

No teacher of the University shall draw for any duties performed in connection with any examination referred to in Section 34 (1) in any calendar year, maximum any remuneration in a calendar year will be as per order issued by U.P. Government time to time.

Section - 49 (d) 14.10-A (i) notwithstanding anything contained in these Statutes:-

A teacher of the University who is a Member of Parliament or State Legislature shall not, throughout the term of his membership hold any administrative or remunerative office in the University;

- (ii) if a teacher of the University is holding any administrative or remunerative office in the University from before the date of his election or nomination as a member of the Parliament or the State Legislature, then he shall cease to hold such office with effect from the date of such election or nomination or with effect from the commencement of these Statutes, whichever is later:
- (iii) a teacher of the University who is elected or nominated to the Parliament or the State Legislature, shall not be required to resign or to take leave from the University for the duration of his membership or, except as provided by Statute 14.11, for attending the meetings of any House or Committee thereof.

Explanation- The membership of any authority or body of the University or the Deanship of a Faculty or the Principal-ship of any college shall not be deemed to be an administrative or remunerative office for the purposes of this Statute.

### 14.11-A Teaching Days

14.11.01-A (a)Subject to sub-clause (b), the University must adopt at least 180 working days i.e., there should be a minimum of 30 weeks of actual teaching in a (6 day) week. Of the remaining period, 12 weeks may be devoted to admissions and examination activities, an non-instructional (including preparation of examination) days for co-curricular, sports, college day, etc.; 6 weeks for vacations and 4 weeks may be attributed to various public holidays

Categorization	Number of weeks
Teaching and learning process	30 (180 days)
Admission	04
Preparation for examination	02
Examination	06
Vacation	06
Public holidays (to increase and adjust	
teaching days accordingly)	04
Total	52

(b) For the courses regulated by the National Council for Teacher Education, there shall be at least two hundred working days, each year exclusive of the period of preparation leave, examination and admission, out of which at least 40 days shall be for practice teaching or skill development in nearby schools. Adjustments shall be made accordingly.

<sup>\*</sup>No. 377/70-1-2013-16(114)/2010 dt. Dec. 03, 2013.

The institution imparting teacher education shall work for a minimum of 36 hours in a week, during which physical presence in the institution of all the teaches and student-teachers is necessary to ensure their availability for individual advise, guidance, dialogues, and consultations as and when needed.

Provided that where a teacher of the University is not so available because of the sessions of the Parliament or the State Legislature

14.11.02-AIn lieu of curtailment of vacation by two weeks, the teachers may be credited with 1/3 of the period of earn leave.

### PART-II

## \*Leave Rules for Teachers of the University

- Section 49 (d)

  14.12-A Leave cannot be claimed as a matter of right. If the exigencies of the occasion demand, the sanctioning authority may refuse leave of any kind and may even cancel the leave already granted.
- Section 49 (d)

  14.13-A The authority competent to grant leave will be the Vice-Chancellor except in the case of Half Pay leave, Commuted leave, Study leave or extraordinary leave, which will be granted by the Executive Council.
- Section 49 (d) 14.14-A (I) Leave shall be the following categories
  - (i) Casual leave:
  - (ii) Special Casual leave;
  - (iii) Earned leave or Privilege leave
  - (iv) Duty leave;
  - (v) Extraordinary leave;
  - (vi) Study leave or Sabbatical leave
  - (vii) Half pay leave or Long- term Leave
  - (viii)Commuted leave
  - (ix) Leave not due;
  - (x) Maternity leave;
  - (xi) Child care leave;
  - (xii) Special leave
  - (II) The Executive Council may grant, in exceptional cases, for the reasons to be recorded, any other kinds of leave, subject to such terms and conditions as it may deem fit to impose.

### CASUAL LEAVE

- 14.15-A Casual leave shall be granted to a permanent teacher on full pay for not exceeding eight days in an academic year.
  - (a) Casual leave cannot be combined with any other kind of leave except Special Casual leave. However, such casual leave may be combined with holidays including Sundays. Holidays or Sundays falling within the period of casual leave shall not be counted as casual leave.

<sup>\*</sup>No. 377/77-01-2013-16(114)2010 dt. Dec. 03, 2013

### SPECIAL CASUAL LEAVE

- 14.16-A (i) Special Casual leave, not exceeding 10 days in an academic year, may be granted to a permanent teacher:
  - (a) to conduct examination of a university/Public Service Commission / board of examination or other similar bodies / institutions; and
  - (b) To inspect academic institutions attached to a statutory board, etc.
    - In computing the 10 days leave admissible under subclause (a) and (b) above, the days of actual journey, if any, to and from the places where activities specified above, take place, may be excluded.
  - (c) to undergo sterilization operation (vasectomy or salpingectomy) under family welfare programme. Leave in this case will be restricted to 6 working days; and
  - (d) to a female teacher who undergo non-puerperal sterilization
  - (ii) Special Casual leave cannot be accumulated, nor can it be combined with any other kind of leave except casual leave. It may be granted in combination with holidays or vacation by the sanctioning authority on each occasion.

#### EARNED LEAVE

- 14.17-A (i) Subject to the provisions contained in clause 14.11.02-A earned leave admissible to a permanent teacher, shall be:
  - (a) 1/30th of actual service including vacation; plus
  - (b) 1/3rd of the period, if any, during which he/she is required to perform duty during vacation. For purposes of computation of period of actual service, all periods of leave except casual, special casual and duty leave shall be excluded.
  - (ii) Earned leave at the credit of a teacher shall be on full pay and shall not accumulate beyond 300 days. The maximum earned leave that may be sanctioned at a time shall not exceed 60 days. Earned leave exceeding 60 days may, however, be sanctioned in the case of higher study, or training, or when the entire leave, or a portion there of, is spent outside India.

For avoidance of doubt, it may be noted:

- (1) When a teacher combines vacation with earned leave, the period of vacation shall be reckoned as leave in calculating the maximum amount of leave on average pay which may be including in the particular period of leave.
- (2) In case where only a portion of the leave is spent outside India, the grant of leave in excess of 120 days shall be subject to the condition that the portion of the leave spent in India shall not in the aggregate exceed 120 days.

### DUTY LEAVE

- 14.18-A (i) Duty leave of the maximum of 30 days in an academic year may be granted to a permanent teacher on full pay for the following:
  - (a) Attending Orientation Programme, Refresher Course, Research Methodology Workshop, Faculty Induction Programme, Conference, Congresses, Symposia and Seminar, as a delegate on behalf of the university or with the permission of the university
  - (b) Delivering lectures in institutions and universities at the invitation of such institutions or universities received by the university, and accepted by the Vice-Chancellor;
  - (c) Working in another Indian or foreign university, any other agency, institution or organization, when so deputed by the university;
  - (d) Participating in a delegation or working on a committee appointed by the Central Government, State Government, the UGC, a sister university or any other academic body; and
  - (e) For performing any other duty for the university.

Provided that if the teacher receives a fellowship or honorarium or any other financial assistance beyond the amount needed for normal expenses, he /she may be sanctioned duty leave on reduced pay and allowances.

- (ii) The duration of leave should be such as may be considered necessary by the sanctioning authority on each occasion.
- (iii) Duty leave may be combined with earned leave, half pay leave or extraordinary leave, or Casual leave.
- (iv) Duty leave should be given also for attending meetings in the UGC, DST, etc. where a teacher invited to share expertise with academic bodies, government or NGO.

### EXTRAORDINARY LEAVE

Section - 49 (d)

- 14.19-A (i) A permanent teacher may be granted extraordinary leave when:
  - (a) no other leave is admissible; or
  - (b) other leave is admissible and the teacher applies in writing for the grant of extraordinary leave.
  - (ii) Extraordinary leave shall always be without pay and allowances. Extraordinary leave shall not count for increment except in the following case:
    - (a) Leave taken on the basis of medical certificate;
    - (b) Cases where the Vice Chancellor is satisfied that the leave was taken due to causes beyond the control of the teacher, such as inability to join or rejoin duty to due to civil

- commotion or a natural calamity, provided the teacher has no other kind of leave to his credit;
- (c) Leave taken for pursuing higher studies; and
- (d) Leave granted to accept an invitation to a teaching post or following or research - cum- teaching post or on assignment for technical or academic work of importance.
- (iii) Extraordinary leave may be combined with any other leave except casual leave and Special Casual leave, provided that the total period of continuous absence from duty on leave (including periods of vacation when such vacation is taken in conjunction with leave) shall not exceed three years except in cases where leave is taken on medical certificate. The total period of absence from duty shall in no case exceed five years in the full working life of the individual.
- (iv) The authority empowered to grant leave may commute retrospectively periods of absence without leave into extraordinary leave.

#### STUDY LEAVE

Section - 49 (d)

- 14.20-A (i) Study leave may be granted to a permanent and whole time Assistant Professor after a minimum of three years of continuous service, to pursue a special line of study or research directly related to his/her work in the institution or to make a special study of the various aspects of university organization and methods of education.
  - (ii) The period of study leave may be for three years, but two years may be given in the first instance, extendable by one more year, if there is adequate progress as reported by the Research Guide. Care should be taken that the number of teacher given study leave, does not exceed the stipulated percentage of teachers in any department.

Provided that the Executive Council may, in the special circumstances of a case, waive the condition of two years service being continuous.

Explanation: In computing the length of service, the time during which a person was on probation may be reckoned provided:

- (a) The person is a teacher on the date of the application;
- (b) There is no break in service; and
- (c) The leave is requested for undertaking the Ph.D. research work.
- (iii) Study leave shall be granted by the Executive Council on the recommendation of the concerned Head of the Department. The leave shall not be granted for more than three years in one spell, save in very exceptional cases in which the Executive Council

- is satisfied that such extension is unavoidable on academic grounds and necessary in the interest of the university.
- (iv) Study leave shall not be granted to a teacher who is due to retire within five years of the date on which he/she is expected to return to duty after the expiry of study leave.
- (v) Study leave may be granted not more than twice during one's career.

Provided that, under no circumstance, the maximum of study leave admissible during the entire service should not exceed five years.

- (vi) No teacher, who has been granted study leave, shall be permitted to alter substantially the course of study or the programme of research without the prior permission of the Executive Council. In the event, the course of study falls short of study leave sanctioned, the teacher shall resume duty immediately on the conclusion of such course of study, unless a prior approval of the Executive Council to treat the period of shortfall as ordinary leave has been obtained.
- (vii) Subject to the maximum period of absence from duty on leave exceeding three years, study leave may be combined with earned leave, half - pay leave, extraordinary leave or vacation.

Provided that the earned leave at the credit of the teacher shall be availed of at the discretion of the teacher. A teacher, who is selected to a higher post during study leave, will be placed in that position and get the higher scale only after joining the post.

- (viii) A teacher granted study leave shall on his/her return and rejoining the service of the university may be eligible to the benefit of the annual increment (s) which he/she would have earned in the course of time if he/she had not proceeded on study leave. No teacher shall however, be eligible to receive arrears of increments.
- (ix) Study leave shall count as service for contributory provident fund and retiral benefits etc., provided the teacher joins the university on the expiry of his/her study leave.
- (x) Study leave granted to a teacher shall be deemed to be cancelled in case it is not availed of within 12 months of its sanction.

Provided that where study leave granted has been so cancelled, the teacher may apply again for such leave.

- (xi) A teacher availing himself/herself of study leave shall undertake that he/she shall serve the university for a continuous period of at least three years to be calculated from the date of his/her resuming duty on expiry of the study leave.
- (xii) After the leave has been sanctioned, the teacher shall, before availing himself/herself of the leave, execute a bond in favour of the university, binding himself/herself for the due fulfillment

of the conditions laid down in sub-clause above and give security of immovable property to the satisfaction of the Finance Officer or a fidelity bond of an insurance company or a guarantee by a scheduled bank or furnish Security of two permanent teachers for the amount which might become refundable to the university in accordance with sub-clause (xi) above.

(xiii)The teacher shall submit to the Registrar, six monthly reports of progress in his/her studies from his/her supervisor or the Head of the Institution. This report shall reach the Registrar within one month of the expiry of every six months of the study leave.

### SABBATICAL LEAVE

- 14.21-A (i) Permanent and whole- time teachers who have completed seven years of service as Reader/Associate Professor or Professor may be granted sabbatical leave to undertake study or research or other academic pursuit solely for the object of increasing their proficiency and usefulness to the institution and higher education system.
  - (ii) The duration of leave shall not exceed one year at a time and two years in the entire career of a teacher.
  - (iii) A teacher who has availed himself/herself of study leave, would not be entitled to the sabbatical leave.

Provided that sabbatical leave shall not be granted until after the expiry of five years from the date of the teacher's return from previous study leave or any other kind of training programme of duration of one year or more.

- (iv) A teacher on sabbatical leave shall not take up, during the period of that leave, any regular appointment under another organization in India or abroad. He/She may be allowed to accept a fellowship or a research scholarship or adhoc teaching and research assignment with honorarium or any other form of assistance, other than regular employment in an institution of advanced studies.
- (v) During the period of sabbatical leave, the teacher shall be allowed to draw the increment on the due date. The period of leave shall also count as service for purposes of provident fund and retiral benefits,

Provided that the teacher rejoins the institution on the expiry of his/ her leave.

#### HALF-PAY LEAVE

14.22-A Half-pay leave admissible to a permanent teacher shall be 20 days for each completed year of service. Such leave may be granted on the basis of medical certificate from a registered medical practitioner, for private affairs or for academic purposes.

Explanation: A "completed year of service" means continuous service of specified duration under the university or the college and includes periods of absence from duty as well as leave including extraordinary leave.

#### COMMUTED LEAVE

- 14.23-A Commuted leave, not exceeding half the amount of half pay leave due, may be granted on the basis of medical certificate from a registered medical practitioner to a permanent teacher subject to the following conditions:
  - (a) Commuted leave during the entire service shall be limited to a maximum of 240 days.
  - (b) When commuted leave is granted, twice the amount of such leave shall be debited against the half-pay leave due; and
  - (c) The total duration of earned leave and commuted leave taken in conjunction shall not exceed 240 days at a time.

Provided that no commuted leave shall be granted under these statutes unless the authority competent to sanction leave has reason to believe that the teacher will return to duty on its expiry.

#### LEAVE NOT DUE

- 14.24-A (i) Leave not due, may, at the discretion of the Vice Chancellor, be granted to a permanent teacher for a period not exceeding 360 days during the entire period of service, out of which not more than 90 days at a time and 180 days in all may be otherwise than on medical certificate. Such leave shall be debited against the half-pay leave earned by him/her subsequently.
  - (ii) 'Leave not due' shall not be granted, unless the Vice Chancellor is satisfied that as far as can reasonably be foreseen, the teacher will return to duty on the expiry of the leave and earn the leave granted.
  - (iii) A teacher to whom 'leave not due' is granted shall not be permitted to tender his/her resignation from service so long as the debit balance in his/her leave account is not wiped off by active service, or he/she refunds the amount paid to him/her as pay and allowances for the period not so earned. In a case where retirement is unavoidable on account of reason of ill health, incapacitating the teacher or further service, refund of leave salary for the period of still to be earned may be waived by the Executive Council.

Provided that the Executive Council may waive off, in any other exceptional, for reasons to be recorded in writing, the refund of leave salary for the period of leave still to be earned.

#### MATERNITY LEAVE

- 14.25-A (a) Maternity leave on full pay may be granted to a permanent woman teacher for a period not exceeding 180 days, to be availed of twice in the entire career. Maternity leave may also be granted in case of miscarriage including abortion, subject to the condition that the total leave granted in respect of this to a woman teacher in her career is not more than 45 days, and the application for leave is supported by a medical certificate.
  - (b) Maternity leave may be combined with earned leave, half pay leave or Extraordinary leave but any leave applied for in continuation of maternity leave may be granted if the request is supported by a medical certificate.

#### CHILD CARE LEAVE

- 14.26-A (i) Women teachers having minor children may be granted leave on full Pay up to two years (730 days) for taking care of their minor children. The leave shall be regulated on the same terms and conditions as are Applicable to the state Government employees from time to time.
  - (ii) In the cases, where the child care leave is granted for more than 45 Days, the Institution may appoint a part time/ guest substitute teacher with intimation to the UGC.
  - (iii) In the event of any doubt about the applicability of the leave, the decision of the state Government shall be final.

### SPECIAL LEAVE

- 14.26-AAA permanent teacher may be granted Special leave in addition to leave provide by U.G.C. Regulation, 2018 when:
  - (i) a teacher become elected as Member of State or Union legislator
  - (ii) a teacher appointed by State or Union Government on the any post of National importance in art/science/Literature/cultural / sports etc. organization/academic institute /commissions.
- 14.26-AB Other Kinds of Leave Rules for Permanent Teachers of the Universities / Colleges. The following kinds of leave would be admissible to permanent teachers:
  - Leave treated as duty, viz. casual leave, special casual leave, and duty leave;
  - (ii) Leave earned by duty, viz. earned leave, half-pay leave, and commuted leave;

- (iii) Leave not earned by duty, viz. extraordinary leave; and leave not due;
- (iv) Leave not debited to leave account:
- (v) Leave for academic pursuits, viz. study leave, sabbatical leave and academic leave;
- (vi) Leave on grounds of health, viz., maternity leave and quarantine leave.
- (b) The Executive Council/Syndicate may grant, in exceptional cases, for the reasons to be recorded, any other kind, of leave, subject to such terms and conditions as it may deem fit to impose.
- 14.26-AC Leave Provisions of Government order no. 269/70-1-2018-16(35)/ 2017 dated July 25, 2018 shall remain as provided by State Government.

#### OTHER LEAVES

14.27-A Other leaves shall be prescribed as per the Rules of the State Government.

## Part III

## Age of Superannuation of The Teachers of The University

- Section 49 (d)
- 14.28-A (i) The age of superannuation of a teacher of the University Governed by the new scale of pay or shall be 62 years, as ammended from time to time.
  - (ii) No extension in service beyond age of superannuation shall be granted to any teacher:

Provided that a teacher whose date of superannuation does not fall on June 30, shall continue in service till the end of academic session, that is June 30 following and will be treated as on reemployment from the date immediately following the date of his/her superannuation till June 30, following -

- \*Provided further that a teacher, who is treated to be on reemployment from the date immediately following date of his superannuation till June, 30 following, will be entitled to pay and other benefits admissible to a Govt. employee of equal status.
- 14.29-A Teachers, who are physically and mentally healthy, and has got the national award shall get the extension of 02 years after the age of superannuation, however during the extension period such teacher will not be entitled to have post in any board, authority or University.
- \*14.30-A The teacher who gets the following awards shall be eligible for extension of 02 years of service as per regular employee:

Award of 'Padam shree' (Padamshree, Padam Vibhushan, Bharat Ratna etc)

<sup>\*</sup>U.P. Govt. G.O. No. 4412/15-10-88-15(185)/84 Dt. June 30, 1988

- (a) Shanti Swaroop Bhatnagar Award
- (b) Gyanpeeth Award
- (c) Dr B C Rai Award
- (d) Jhamman Lal Bajaj Award
- (e) National grassroot innovation and traditional Knowledge award
- (f) Arjun Puraskar
- 14.30-B The teacher who gets the State/Nation awards shall be eligible for extension of 02 years of service as per U.P. Govt. Order No. U.P. Govt. Order No.268/sattar-1-2016-16(56)/2016 Dated 14.06.2016, U.P. Govt. Order No.213/sattar-2-2004-16(79)/99 T.C. dated04.02.2004 and U.P. Govt. Order No.1959/sattar-2-2004-16(79)/99 T.C. dated17.June,2004.

The teacher who gets the following state Government awards shall be eligible for extension of 02 years of service as per regular employee:

- State Govt. Awards
- II. Sarawati Samman
- III. Shikshak Shree Samman
- IV. Vigyan Samman puraskar
- V. Vigyan Gauraw, and Vigyan rattan Award
- 14.31.A The facility of voluntary retirement shall be available to teacher of the university after completing service of 20 years or attaining the age of 45 years.

## PART IV OTHER PROVISION

Section - 32 and 49

## 14.32-A COUNTING OF PAST SERVICES

14.32-A-01 Previous regular service, whether national or international, as Lecturer/ Assistant Professor, Reader/Associate Professor or Professor or equivalent in a University, College, National Laboratories or other scientific/professional organizations such as the CSIR, ICAR, DRDO, UGC, ICSSR, ICMR, DBT, etc, should be counted for direct recruitment and promotion under CAS of a teacher as Assistant Professor, Associate Professor, Professor or any other nomenclature. These posts are described as per Table No. II shall be governed by the relevant provisions of University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in University and Colleges) and other measures for the maintenance of Standards in Higher Education Regulations, 2018 after dueverification by the prescribed authority:

#### Provided that:

- (a) The essential qualifications of the post held were not lower than the qualifications prescribed by the UGC for Lecturer / Assistant Professor, Reader/Associate Professor or Professor as the case may be.
- (b) The post is /was in an equivalent grade or of the per-revised scale of pay as the post of Assistant Professor (Lecturer), Associate Professor (Reader) and Professor.

- (c) The candidate for direct recruitment has applied through proper channel only.
- (d) The concerned Assistant Professor, Associate Professor or Professor had possessed the same minimum qualifications as prescribed by the UGC for appointment to the post of Assistant Professor, Associate Professor or Professor, as the case may be.
- (e) The post was filled in accordance with the prescribed selection procedure as laid down in the Act, the Uttar Pradesh Higher Education Services Commission Act, 1980 and rules made there under and the statutes of university for such appointments.
- (f) The previous appointment was not as guest lecturer (by whatever name called) for any duration or an Adhoc or in a leave vacancy of less than one year duration. Adhoc or temporary service of more than one year duration can be counted provided that:
  - (a) the period of service was of more than one year duration;
  - the incumbent was appointed on the recommendation of duly constituted Selection Committee., and
  - (c) the incumbent was selected to the permanent approved/ sanctioned post in continuation to the adhoc or temporary service, without any break.
- (g) No distinction should be made with reference to the nature of management of the institution where previous service was rendered (private /local body/Government), was considered for counting past services under this clause.

### PERIOD OF PROBATION AND CONFIRMATION

Section - 49 (d)

14.33-A The minimum period of probation of a teacher shall be one year, extendable by a maximum period of one more year in case of unsatisfactory performance. And the teacher on probation shall be confirmed automatic at the end of one year, unless extended by another year through a specific order, before expiry of the first year.

Section - 49

14.33-A-01 Subject to this statute, it is obligatory on the part of the institution to issue an order of confirmation to the incumbents within 45 days of completion of probation period after due process of verification of satisfactory performance.

Section - 49

14.33-A-02 The provision regarding probation and confirmation are applicable only at the initial stage of recruitment, issued from time to time under the Act or statutes or Ordinances made there under.

### 14.34-A CODE OF PROFESSIONAL ETHICS

## 14.34.01-A I. TEACHERS AND THEIR RESPONSIBILITLES:

Whoever adopts teaching as a profession assumes the obligation to conduct himself/herself in accordance with the ideal of the profession. A teacher is constantly under the scrutiny of his students and the society at large. Therefore, every teacher should see that there is no incompatibility between his precepts and practice. The national ideals of education which have already been set forth and which he/she should seek to inculcate among students must be his/her own ideals. The profession further requires that the teachers should be calm, patient and communicative by temperament and amiable in disposition.

### Teachers should

- adhere to a responsible pattern of conduct and demean or expected of them by the community;
- (ii) manage their private affairs in a manner consistent with the dignity of the profession;
- (iii) seek to make professional growth continuous through study and research;
- (iv) express free and frank opinion by participation at professional meetings, seminars, conferences etc. towards the contribution of knowledge;
- (v) maintain active membership of professional organization and strive to improve education and profession through them;
- (vi) perform their duties in the form of teaching tutorial, practical, Practical teaching, seminar, symposium, workshop and research work conscientiously and with dedication;
- (vii) co-operate and assist in carrying out functions relating to the educational responsibilities of the college and the university such as: assisting in appraising applications for admission, advising and counseling students as well as assisting the conduct of university and college examinations, including supervision, invigilation and evaluation; and
- (viii)Participate in extension, co-curricular and extra-curricular activities including community service.

#### IL TEACHERS AND THE STUDENTS

### Teachers should:

- respect the right and dignity of the student in expressing his/ her opinion;
- (ii) deal justly and impartially with students regardless of their religion, caste, political, economic, social and physical
- (iii) characteristics;
- (iv) recognize the difference in aptitude and capabilities among students and strive to met their individual needs;
- encourage students to improve their attainments, develop their personalities and at the same time contribute to community welfare;
- (vi) inculcate among students scientific outlook and respect for physical labour and ideals of democracy, patriotism and peace;

- (vii) be affectionate to the students and not behave in a vindictive manner towards any of them for any reason;
- (viii) pay attention to only the attainment of the student in the assessment of merit;
- (ix) make themselves available to the students even beyond their class hours and help and guide students without any remuneration or reward;
- (x) aid students to develop an understanding of our national
- (xi) heritage and national goals; and
- (xii) refrain from inciting students against other students,
- (xiii)colleagues or administration.

### III. TEACHERS AND COLLEGAGUES

#### Teacher should:

- treat other members of the profession in the same manner as they themselves wish to be treated;
- (ii) speak respectfully of other teachers and render assistance for professional betterment;
- (iii) refrain from lodging unsubstantiated allegations against
- (iv) colleagues to higher authorities; and
- (v) refrain from allowing considerations of caste, creed, religion, race or sex in their professional endeavor.

#### IV. TEACHERS AND AUTHORITIES:

#### Teachers should:

- discharge their professional responsibilities according to the existing rules and adhere to procedures and methods consistent with their profession in initiating steps through their own institutional bodies and/or professional organizations for change of any such rule detrimental to the professional interest;
- (ii) refrain from undertaking any other employment and commitment including private tuitions and coaching classes which are likely to interfere with their professional responsibilities;
- (iii) co-operate in the formulation of policies of the institution by accepting various offices and discharge responsibilities which such offices may demand;
- (iv) co-operate through their organizations in the formulation of policies of the other institutions and accept offices;
- (v) co-operate with the authorities for the betterment of the institutions keeping in view the interest and in conformity with dignity of the profession;
- (vi) should adhere to the conditions of contract;
- (vii) give and expect due notice before a change of position is made; and

(viii) refrain from availing themselves of leave except on unavoidable grounds and as far as practicable with prior intimation, keeping in view their particular responsibility for completion of academic schedule.

### V. TEACHERS AND NON-TEACHING STAFF:

- (a) Teachers should treat the non-teaching staff as colleagues and equal partners in a cooperative undertaking, within every educational institution; and
- (b) Teachers should help in the function of joint staff-councils covering both teachers and the non-teaching staff.

#### VI. TEACHERS AND GUARDIANS

Teachers should:

Try to see through teachers' bodies and organizations, that institution maintains contact with the guardians, their students, sends reports of their performance to the guardians whenever necessary and meets the guardians in meetings convened for the purpose for mutual exchange of ideas and for the benefit of the institution.

### VII. TEACHERS AND SOCIETY

Teachers should:

- (a) Recognize that education is a public service and strive to keep the public informed of the educational programmes which are being provided;
- (b) Work to improve education in the community and strengthen the community's moral and intellectual life;
- beware of social problems and take part in such activities as would be conducive to the progress of society and hence the country as a whole;
- (d) perform the duties of citizenship, participate in community activities and shoulder responsibilities of public offices;
- (e) refrain from taking part in or subscribing to or assisting in any way activities which tend to promote feeling of hatred or enmity among different communities, religions or linguistic groups but actively work for National Integration.

# CHAPTER XIV PART I

# Conditions of Services of Teachers of Affiliated Colleges

Section - 49 (o)	14.01	The provisions of this chapter shall not apply to the teachers of any college exclusively maintained by the State Govt. or a local authority.
Section - 49 (o)	14.02.	Except in the case of an appointment under section 31 (3) in a vacancy caused by the grant of leave to a teacher for a period not exceeding

10 months, teachers of an affiliated college shall be appointed on a written contract in form (1) or form (2) set out in Appendix 'C', as the case may be.

Section - 49 (o)

14.03.

14.04

- (1) A teacher of the a College shall at all times maintain absolute integrity and devotion to duty and shall observe the Code of Professional Ethics mentioned in statute 14.34-A and Code of Conduct as set out in Appendix C, which shall form part of the agreement to be signed by the teacher at the time of appointment.
- (2) A breach of any of the provisions of the Code of professional Ethics mentioned in statute 14.34-A and Code of Conduct as set out in Appendix C shall be deemed to be misconduct within the meaning of Statute 14.04 (1)

Section - 49 (o)

- teacher of an affiliated college (other than a Principal) may be dismissed or removed or his services terminated on one or more of the following grounds:-
  - (a) willful neglect of duty;
  - (b) misconduct, including disobedience to the order of the Principal;
  - (c) breach of any of the terms of contract of service;
  - (d) dishonesty connected with the University or College
  - (e) examinations;
  - (f) scandalous conduct or conviction for an offence
  - (g) involving moral turpitude;
  - (h) physical or mental unfitness;
  - (i) incompetence;
  - (i) abolition of the post
  - (2) A principal of an affiliated college may be dismissed or removed, or his services terminated on grounds mentioned in clause (1) or on the ground of continuous mismanagement of the college.
  - (3) Except as provided by clause (4), not less than three months' notice (or where notice is given after the month of October, then three months' notice or notice ending with the close of the session whichever is longer) shall be given on either side for terminating the contract of service or in lieu of such notice, salary for three months (or longer period as aforesaid) shall be paid or refunded, as the case may be:

Provided that where the Management dismisses or removes or terminates the services of a teacher, under clause (1) or clause (2) or when the teacher terminates the contract for breach of any of its terms by the Management, no such notice shall be necessary:

Provided further that the parties will be free to waive the condition of notice, in whole or in part by mutual agreement.

(4) In the case of any other teacher appointed in a temporary or officiating capacity services shall be terminable, by one month's notice or on payment of salary in lieu thereof, on either side. Section - 49 (o)

14.05

The original contract of appointment referred to in Section 35 shall be lodged with Registrar of the University for registration within three months of the date of joining; such contract shall be duly stamped as per the rates applicable.

The self-appraisal or linked Performance Based Appraisal System (PBAS) methodology shall form part of the service contract/record.

Section - 49 (o)

14.06.

- (1) No order dismissing, removing or terminating the services of a teacher on any ground mentioned in clause (1) or clause (2) of Statute 14.04 (except in the case of a conviction for an offence involving moral turpitude or of abolition of post) shall be passed unless a charge has been framed against the teacher and communicated to him with a statement of the grounds on which it is proposed to take action and he has been given adequate opportunity -
  - (i) of submitting a written statement of his defense;
  - (ii) of being heard in person, if he so desires; and
  - (iii) of calling and examining such witnesses in his defense as he may desire;

Provided that the Management or the officer authorized by it on conduct the inquiry may, - for sufficient reasons to be recorded in writing refuse to call any witness.

- (2) The Management may, at any time, ordinary within two months from the date of the inquiry Officer's report pass a resolution dismissing or removing the teacher concerned from service, or terminating his services mentioning the grounds of such dismissal, removal or termination.
- (3) The resolution shall forthwith be communicated to the teacher concerned and also be reported to the Vice Chancellor for approval and shall not be operative unless so approved by the Vice Chancellor.
- (4) The Management may, instead of dismissing, removing or terminating the service of the teacher, pass a resolution inflicting one or more of the following lesser punishments namely-
  - Reduction of pay for a specified period
  - Stoppage of annual increments for specified period not exceeding three years
  - (iii) Deprivation of his pay not including subsistence allowance during a period of his suspension, if any.

The resolution by the management inflicting such punishment shall be reported by the Vice Chancellor and shall be operative on when and to the extent, approved by the Vice Chancellor.

Section - 49 (o)

14.07.

The Management shall have the power to suspend a teacher during the pendency or in contemplation of an inquiry into charge against him, on the grounds mentioned in subclass (a) to (e) of clauses (1) of Statute 14.04. In an emergency, (in the case of teacher other than principal) this power may be exercised by the principal in anticipation of the approval of the Management. The Principal shall immediately report such case to the Management. The order of suspension if passed in contemplation of an inquiry shall cease at the end of four weeks of its operation unless the teacher has in the mean time being communicated the charge of the charges on which the inquiry was contemplated.

Section - 49 (o)

14.08

In computing the maximum period for purposes of clause (2) of Statute 14.06 and Statute 14.07 any period during which a stay order. from any court of law is in operation shall be excluded.

Section - 49 (o)

14.09

No teacher of a college shall draw for any duties performed in connection with any examination referred to in section 34 (1) in any calendar year, any remuneration in excess of one-sixth of the aggregate of his salary in that calendar year or forty thousand rupees, whichever is less.

Section - 49 (o)

14.10 Not with standing anything contained in these Statutes-

- (i) A teacher of an affiliated college who is a member of Parliament or State Legislature shall not, throughout the term of his membership hold any administrative or remunerative office in the college or in the University;
- (ii) if a teacher of an affiliated college is holding any administrative or remunerative office in the college or in the University from before the date of his election or nomination as a member of the Parliament or the State Legislature, then he shall cease to hold such office with effect from the date of such election or nomination or with effect from the commencement of these Statutes whichever is later:
- (iv) a teacher of an affiliated college who is elected or nominated to the Parliament or the State Legislature - shall not be required to resign or to take leave from such college for the duration of his membership or, excerpt as provided by Statute 14.11, for attending the meetings of any House or Committee thereof.

Explanation: The membership of any authority or body of the university or the Deanship of a Faculty or the Principal-ship of any college shall not be deemed to be an administrative or remunerative office for the purpose of this Statute.

Section - 49

14.11-A The Executive Council shall fix a minimum number of days during which such teacher shall be avilable in the University for his academic duties:

> Provided that where a teacher of the University is not so available because of the session of the Parliament or the State Legislature he shall be treated on such leave, as may be due to him, and if no leave is due, then on leave without pay.

### 14.11 TEACHING DAYS

14.11.01. (a) Subject to sub-clause (b) the colleges must adopt at least 180 working days, i.e, there should be a minimum of 30 weeks of actual teaching in a (6-day) week. Of the remaining period, 10 weeks may be devoted to admission and examination activities, and non-instructional (including preparation of examination) days for co-curricular, sports, college day, etc., 08 weeks for vacations and 4 weeks may be attributed to various public holidays. The above is summarized as follows:-

Categorization 1	Number of weeks
Teaching and learning Process	30 (180 days)
Admissions/Examinations	03
Preparation for examination	02
Examination	05
Vacation	08
Public Holidays	
(to increase and adjust teaching days according	ngly) 04
Total	52

(b) For the courses regulated by the National Council for Teacher Education, there shall be at least 200 working days, each session exclusive of the period of examination and admission, out of which at least 40 days shall be for practice teaching of skill development in nearby schools. Adjustments shall be made accordingly.

The institution imparting teacher education shall work for a minimum of 36 hours in a week. During which physical presence in the institution of all the teachers and student- teachers is necessary to ensure their availability for individual advice guidance, dialogues and consultations as and when needed.

Provided that where a teacher of the University is not so available because of the sessions of the Parliament or the State Legislature, he shall be treated on such leave, as may be due to him, and if no leave is due, then on leave without pay.

14.11.02. The colleges may have an option of a total vacation of eight weeks in a year and no earn leave except when required to work during the vacations for which, one/third of the period will be credited as earn leave.

## Part II

## Leave Rules for Teachers of affiliated Colleges

Section - 49

14.12. The provisions of Statutes 14.12-A to 14.26-A relating to the leave rules of teachers of the University shall be applicable to the teachers of the colleges with the substitution of the words "Management" and "principal" for the words "Executive Council" and "Vice Chancellor" respectively.

### PART - III

## Age of Superannuation of Teachers of Colleges

### Section - 49

- 14.13 In this part, the expression "new scale of pay" means the scale of pay admissible to a teacher in accordance with G.O. No. Shiksha XI-9049/XV-14 (7)-73, dated December 28, 1974, as amended from time to time.
- 14.13-A The age of superannuation of a teacher of an affiliated college shall be sixty two years.
- 14.14 No extension in service beyond the age of superannuation shall be granted to any teacher:

\*Provided that a teacher whose date of superannuation does not fall on June 30, shall continue in service till the end of academic session, that is June 30 following and will be treated as on re-employment from the date immediately following the date of his superannuation till June 30, following-

Provided further that a teacher, who is treated to be on re-employment from the date immediately following the date of his superannuation till June 30, following, will be entitled to pay and other benefits admissible to a government employee of equal status.

14.15 Any teacher of the affiliated college who has completed a regular service of 20 years or has completed 45 years of age, shall be granted the facility of voluntary retirement on a notice of three months.

## PART-IV Other Provisions

## Section - 49 (o)

- 14.16. The provisions of clauses (2) to (4) of the Statute 14.07-A, 14.29-A to 14.34-A shall mutatis mutandis apply to every teacher of a college with the following modifications, namely:-
  - (a) In clauses (2) to (4) of Statute 14.07-A, for the words "Vice Chancellor", and "Executive Council", the words "Management" and "Vice Chancellor" shall respectively be substituted.
  - (b) In Statute 14.29-A for the words "Vice Chancellor", and "Head of the Department", the words "Principal" and the "Senior-most Assistant Professor in the Department" shall respectively be substituted.

### CHAPTER XV-A

# Part I Seniority of the Teachers of University

### Section - 49 (d)

15.01-A The statutes contained in this chapter shall not affect the inter se seniority of teacher employed in the University before the commencement of these Statutes

<sup>\*</sup>No. 4412/15-10-88-15(185)84 Dt. June 30, 1988.

Section - 49 (d)

15.02-A It shall be the duty of the Registrar to prepare and maintain, in respect of each category of teachers of the University, a complete and up to date seniority list in accordance with the provisions hereinafter appearing.

Section - 49 (d)

15.03-A The seniority among Deans of the Faculties shall be determined by the length of total period of service they have put in as Deans of the Faculties:

Provided that when two or more Deans have held the said office for

Section - 49 (d)

Section - 49 (d)

Provided that when two or more Deans have held the said office for equal length of time, the Dean who is senior in age shall be considered to be senior for the purpose of this chapter.

15.04-A The seniority among Heads of Departments shall be determined by the length of total period of service they have put in as Head of Department: Provided that when two or more Heads of the departments have held the said office for equal length of time, the Head of Department who is senior in age shall be considered to be senior for the purpose of this chapter.

15.05-A The following rules shall be followed in determining the seniority of teachers of the University:

- (a) A Professor shall be deemed senior to every Associate Professor, and a Associate Professor shall be deemed senior to every Assistant Professor.
- (b) In the same cadre seniority of teacher appointed on direct recruitment or personal promotion shall be determined according to the length of his/her continuous service in such cadre.

Provided that where more than one appointments to post in a cadre have been made at the same time, and an order of preference or merit was indicated by the selection committee or by the Executive Council, as the case may be, the seniority of the person so appointed shall be governed by the order so indicated.

Provided that where more than one appointment has been made by the personal promotion at the same time, the seniority of the appointed teachers shall be governed by the same seniority which was on their post at the time of promotion.

- (c) When any teacher holding substantive post in any University (other than the University of Rohilkhand) or in any constituent college or in any Institute whether in the State of Uttar Pradesh or outside Uttar Pradesh, is appointed whether before or after August 1, 1981, to a post of corresponding rank or grade in the University, the period of service rendered by such teacher in that grade or rank in such University shall be added to his length of service.
- (d) When any teacher holding substantive post in any college affiliated to or associated with any University is appointed whether before or after the commencement of the Rohilkhand

- University (Fifteenth Amendment) first Statues, 1987 as Assistant Professor in the University, then one-half of the period of substantive service rendered by such teacher in such college shall be added to his length of service.
- (e) Service against an administrative appointment in any University or Institution shall not count for the purposes of seniority. Explanation:-1. In this chapter the expression "Substantive post" means an appointment made in a regular department on permanent post.
  - In this chapter this expression, 'administrative appointment' means an appointment made under sub-section (6) of Section 13.
- (f) Continuous service in a temporary post to which a teacher is appointed after reference to a selection committee, if followed by his appointment in a substantive capacity to that post under section 31(3) b shall count towards seniority.
- 15.06-A (a) Where more than one teacher are entitled to count the same length of continuous service in the cadre to which they belong, the relative seniority of such teachers shall be determined as below:
  - (b) In the case of Professor, the length of substantive service as Associate Professor shall be taken into consideration;
  - (c) In the case of Associate Professors, the length of substantive service as Assistant Professor shall be taken into consideration;
  - (d) In the case of Professor whose length of service as Associate Professor is also identical, the length of service as Assistant Professor shall be taken into consideration.
- 15.07-A. Where more than one teacher are entitled to count the same length of continuous service and their relative seniority cannot be determined in accordance with any of the foregoing provision, then the seniority of such teacher shall be determined on the basis of seniority in age.
- 15.08-A (1) Not with standing anything contained in any other statute, if the Executive Council:-
  - (2) Agrees with the recommendations of the selection Committee and approves two or more persons for appointment as teachers in the same Department, it shall record such approval, determine the order of merit of such teachers;
  - (3) Does not agree with the recommendation of the Selection Committee and refers the matter to the Chancellor under section 31 (8) (a), the Chancellor shall, in cases where appointment of two or more teachers in the same Department is involved, determine the order of merit of such teachers at the time of deciding such reference.

(4) The order of merit in which two or more teachers are placed under clause (1), shall be communicated to the teachers concerned before their appointment.

Section - 49 (d)

- 15.09-A. (1) The Vice Chancellor shall constitute from time to time one or more seniority committees consisting of himself as Chairman and two Deans of Faculties to be nominated by the Chancellor; Provided that the Dean of the Faculty to which the teacher (whose seniority is in dispute), belong shall not be a member of the relative seniority Committee.
  - Every dispute about the seniority of a teacher of the University shall be referred to the Seniority Committee which shall decide the same giving reasons for the decision.
  - ii. Any teacher aggrieved by the decision of the Seniority Committee may prefer an appeal to the Executive Council within sixty days from the date of the communication of such decision, to the teacher concerned. If the Executive Council disagrees with the Committee, it shall give reason of such disagreement.

# CHAPTER XV

# Part II

# Seniority of Principals and Teachers of Affiliated Colleges

Section - 49 (o)	15.01	The following rules shall be followed in determining the seniority of Principals and other teacher of affiliated colleges:
		<ul> <li>(a) the Principal shall be deemed senior to other teachers in the College;</li> </ul>
		<ul> <li>(b) the Principal of a post-graduate college shall be deemed senior to the Principal of a Degree College;</li> </ul>
		(c) the seniority of, Principals and teachers of the affiliated colleges shall be determined by the length of continuous service from the date of appointment in substantive capacity;
		<ul> <li>(d) service in each capacity (for example, as Principal or as a teacher), shall be counted for the date of taking charge pursuant to substantive appointment;</li> </ul>
		(e) service in a substantive capacity in another University or another degree or post-graduate college whether affiliated to or associated with the University or another University established by law shall be added to his length of service.
Section - 49 (o)	15.02	Where more than one teacher are entitled to count the same length of continuous service, the relative seniority of such teachers shall be determined as below:
		<ul> <li>in the case of Principals, the length of substantive service as a Assistant Professor shall be taken into consideration:</li> </ul>
		<ul><li>(ii) in the case of Assistant Professors, the seniority in age shall be taken into consideration.</li></ul>
Section - 49 (o)	15.03	Where the seniority of a person as Principal is to be determined for the purpose of representation or appointment as such on a University authority, the length of service only as Principal shall be taken into account.
Section - 49 (o)	15.04	(1) When two or more persons are appointed as teachers in the same department or in the same subject, their relative seniority shall be determined in order of preference or merit in which their names were recommended by the Selection Committee.
		(2) If the seniority of two or more teachers has been determined under clause (1), the same shall be communicated to the teachers concerned before their appointment.
Section - 49 (o)	15.05	All disputes regarding seniority of teachers (other than the Principal) shall be decided by the Principal of the college who shall give reasons for the decision. Any teacher aggrieved by the decision of the Principal may prefer an appeal to the Vice Chancellor within 60 days from the date of communication of such decision to the teacher

		concerned. If the Vice Chancellor disagrees with the Principal, he shall give reasons for such disagreement.
Section - 49 (o)	15.06	The Statutes contained in this Chapter shall not affect the inter se seniority of teachers employed from before the commencement of these Statutes.
Section - 49 (o)	15.07	It shall be the duty of the Registrar to prepare and maintain, in respect of each category of teachers, a complete and up-to-date seniority list in accordance with the provisions hereinafter appearing.
Section - 49 (o)	15.08	The following rules shall be followed in determining the seniority of teachers:
		(a) A Principal shall be deemed senior to every teacher.
		(b) In the same cadre, seniority of a teacher shall be determined according to the length of his continuous service in a substantive capacity in such cadre:
		Provided that where more than one appointment to posts in a cadre have been made at the same time, and an order of preference or merit was indicated by the Selection Committee or by the Management the seniority of the persons so appointed shall be governed by the order so indicated.
		(c) When any teacher holding substantive post in any college affiliated to or associated with any University is appointed as a teacher in an affiliated college, the period of substantive service rendered by such teacher in such college shall be added to his length of service.
		(d) Service against an administrative appointment in any University or college shall not count for the purposes of seniority
		(e) Continuous service in a temporary post to which a teacher is appointed after reference to a Selection Committee, if followed by his appointment in a substantive capacity to that post under section 31 (3) (b) shall count towards seniority.
Section - 49 (o)	15.09	(1) Not with standing anything contained in any other Statute, if the Management-
		<ul> <li>(a) agrees with the recommendation of the Selection Committee, and approves two or more persons for appointment of teachers in the same department, it shall, while recording such approval, determine the order of merit of such teachers;</li> </ul>
		(b) dose not agree with the recommendations of the Selection Committee and refers the matter to the Vice Chancellor under section 31 (8) (b), the Vice Chancellor shall, in cases where appointment of two or more teachers in the same department is involved, determine the order of merit of such teachers at the time of deciding such reference.

(2) The order of merit in which two or more teachers are placed under clause (1), shall be communicated to the teachers concerned before their appointment.

Section - 49 (o) 15.10

All disputes regarding seniority of Principals of affiliated colleges shall be decided by the Vice Chancellor who shall give reasons for the decision. Any Principal aggrieved by the decision of the Vice-Chancellor may 'prefer an appeal to the Executive Council within sixty days from the date of communication of such decision to the Principal concerned. If the Executive Council disagrees with the Vice Chancellor it shall give reasons for such disagreement.

# CHAPTER XVI AUTONOMOUS COLLEGES

Section - 42 16.01

The Management of an affiliated college desirous of obtaining the privileges of an autonomous college shall apply to the Registrar specifying clearly-

- (a) the variations proposed in or from the course of study prescribed by the University including the institution of a course in a subject not provided for by the University and the substitution of a course for the one prescribed by the University;
- (b) the manner in which the college proposes to hold examinations in the courses so varied;
- (c) the details of its finances and assets, the strength and qualifications of its teaching staff, the facilities available, for the advance research work and the advance research work already done, if any.

Section - 42

16.02 No application under statute 16.01 shall be entertained unless the college satisfies the following conditions:-

- (a) It has well established departments of teaching in at least two Faculties giving instruction up to the post-graduate stage in at least six subjects.
- (b) It has or is likely to have an adequate and well qualified teaching staff
- (c) The Principal is a teacher or scholar of outstanding merit and possesses administrative experience.
- (d) It possesses adequate and satisfactory buildings for all tuition purposes and for housing the Library, Reading Rooms, the Laboratories and has land for future expansion.
- (e) It has a good library and has or is likely to have provision for its regular development.
- (f) It has, well equipped Laboratories, if necessary, for the subjects taught therein, and has or is likely to have adequate provision for new acquisitions and replacements.

extra expenditure involved in the college on attaining the status of an autonomous college. Section - 42 Every application under Statute 16.01 shall be accompanied by a 16.03 Bank Draft payable to the University, Rs. 2,000 which shall be nonrefundable. Section - 42 16.04 (1) Every application under statute 16.01 shall be referred to a Standing Committee of each of the Faculties concerned for scrutiny. (2) The Standing Committee for each of the Faculties concerned shall consist of the following members: (a) The Dean of the Faculty (Convener); (b) A representative each of the corresponding Faculty selected by the Executive Council from any two of the Universities established by law in Uttar Pradesh. (3) If the reports of the Committees are favorable the Executive Council shall appoint a Board of Inspectors (not exceeding six members) to inspect the college and report on its suitability for being declared as an autonomous college. (4) The Board of Inspectors shall include the Vice Chancellor as the Convener, and the Director of Education (Higher Education) and such other specialist of the subjects as members as the Executive Council may think fit to appoint. Section - 42 16.05 The report of the Board of Inspectors shall be considered by the Board of the Faculty concerned as well as by the Academic Council, and shall be laid before the Executive Council together with the views of these bodies. Section - 42 16.06 (1) After considering the recommendation of the Board of Inspectors and the reports of the two bodies, referred to in Statute 16.05, if the Executive Council is of opinion that the college is entitled to the privileges mentioned in section 42, it shall submit its proposal to the Chancellor. (2) On receipt of the proposal and other connected-papers under clause (1) and after making such enquiry as he may consider necessary the Chancellor may approve the proposal or reject it: Provided that before approving any such proposal the Chancellor may consult the University Grants Commission established under the University Grants Commission Act, 1956. Section - 42 16.07 After the recommendation of the Executive Council has been approved by the Chancellor under Statute 16.06, the Executive Council shall declare the college as an autonomous college and shall specify the matters in respect of which and the extent to which the college may exercise the privileges of an autonomous college.

(g) The Management possesses adequate resources for meeting the

Section - 42	16.08	<ol> <li>Subject to the provisions of section 42, an autonomous college shall be entitled-</li> </ol>
		<ul> <li>(a) to frame the courses in the subjects covered by its privileges;</li> <li>(b) to appoint persons qualified to be appointed as internal or external examiners in such subjects;</li> <li>(c) to hold examinations and to make such changes in the method of examination and teaching as in its opinion are conducive to the maintenance of the standard of education.</li> </ul>
		(2) The Boards of Faculties concerned, the Academic Council and the Examination Committee may consider the action taken by the autonomous college under clause (1), and may suggest any change, if necessary.
Section - 42	16.09	<ol> <li>The result of the autonomous college shall be declared and published by the University which shall mention the college which has presented the results for declaration and publication.</li> </ol>
		(2) Every antonomous college shall furnish such reports, returns and other information as the Executive Council may from time to time require to enabling to judge the efficiency of such college.
		(3) The University shall continue to exercise general supervision over an autonomous college and to confer degrees on the students of the college passing any examination qualifying for any degree of the University.
Section - 42	16.10.	The Executive Council may, at any time, get an autonomous college inspected by a Board of inspectors, and if, after perusing the report of such inspection, it is of opinion that the college has failed to maintain the requisite standard, or to possess the requisite resources, or that in the interest of education, it is necessary to withdraw the privileges conferred by section 42, the Executive Council may with the prior approval of the Chancellor, withdraw such privileges and thereupon the college concerned shall revert to the position of an affiliated college.
Section - 42	16.11.	<ol> <li>For the proper planning and conduct of its work, every autonomous college shall have an Academic Council and a Faculty Board in respect of the Subjects comprised in each Faculty.</li> </ol>
		(2) The Academic Council shall be composed of all the Heads of Departments, ex officio, and two other teachers of each subject taught for a postgraduate degree and one teacher of each subject taught for the first degree with the Principal as Chairman. The teachers shall be members of the Council by rotation in order of seniority for three years at a time, provided that no teacher of less than four years' standing shall be a member.
		(3) The Academic Council shall review the academic work of the College at quarterly meeting and all proposals regarding course,

- examination etc. made by the college shall pass through the said Council.
- (4) The Faculty Board shall consist of all teachers of the subjects comprised in the Faculty, of three year's standing as teacher of degree classes. The Faculty Board shall meet at regular intervals (once a month if possible) to consider academic questions and advise the Principal proposals regarding courses; examination, etc. shall either originate in or be considered by these Faculty Boards.

#### Section - 42

Subject to the provisions of section 42 (2) and of this Chapter, the Course's of study and other conditions relating to an autonomous college shall be such as may be laid down in the Ordinances.

# CHAPTER XVII WORKING MEN'S COLLEGES

#### Section - 43

17.01.

16.12

- (1) The management of an affiliated college, desirous of obtaining the privileges of a Working Men's College shall apply to the Registrar indicating the demand for such a college in the locality and specifying the degree for which recognition is sought.
- (2) A college will not be recognised as a Working Men's College in the Faculties of Science, Law and Medicine.

#### Section - 43

- 17.02 No application under Statute 17.01 shall be entertained unless the college satisfies the following conditions:-
  - (a) That there is a reasonable demand for such a college in the locality and the management possess adequate resources for meeting the extra expenditure involved towards the maintenance and running of such a college.
  - (b) That the privilege of admission to the Working Men's College shall be restricted to such persons, only as are unable to be enrolled as whole time students by reason of being' engaged in business, trade, agriculture or industry, or employed in any other form of service.
  - (c) That the college shall hold classes at such hours of the day as generally suits the convenience of the students and do not coincide with the usual business hours.
  - (d) That the staff of the Working Men's College shall be separate and, as for as may be, they shall be employed on the wholetime basis. The college may, however, at its option, employ part-time teachers also provided that their number does not exceed one half of the total number of teachers. The wholetime employees of the college shall be entitled to the scales of pay as are admissible to the employees of the affiliated college. The pay of part-time teacher shall, however be fixed by the management in each individual case and such, pay shall fixed

after taking into consideration the number of periods that such teacher shall be required to take per week as compared to the full-time teachers but in no case this will exceed two-thirds of the minimum of the time scale to which he would have been entitled had he been appointed on a whole-time basis. The appointment of teachers shall be subject to the provisions of Chapter VI of the Act.

- (e) That the college is prepared to observe the Statutes, Ordinances and Regulations framed by the University for such a college.
- Section 43

  17.03. (1) Every application under Statute 17.01 shall be accompanied by a Bank Draft payable to the University for a sum of Rs. 2,000 which shall be nonrefundable.
  - (2) The application along with necessary papers shall reach the Registrar before 15th of August of the session preceding the one from which the recognition is sought.
- Section 43

  17.04. (1) Every such application shall be placed before the Executive Council, and if the application is entertained, the Executive Council shall appoint a Board of Inspectors to inspect the college and report on its suitability for being recognised as a Working Men's College and the conditions on which such recognition should be granted.
  - (2) The report of the Board of Inspectors shall be considered by the Board of Faculty concerned as well as by the Academic Council and shall be laid before the Executive Council together with the views of these bodies.
- Section 43

  17.05. Subject to the provisions of the Act and the Statutes the Executive Council may, after considering the report of the Board of Inspectors, the Board of the Faculty concerned and the Academic Council, recognize any affiliated college as a Working Men's College with the prior approval of the Chancellor.
- Section 43

  17.06. Subject to provisions of section 43 (2) the courses of study and other conditions relating to a Working Men's College shall be such as may be laid down in the Ordinances.
- Section 43 17.07. The permissions of clauses (2) and (3) of Statute 16.09, and Statute 16.10 shall mutatis mutandis apply to a Working Men's College.

# CHAPTER XVIII QUALIFICATIONS AND CONDITIONS OF SERVICE OF NON TEACHING STAFF OF AFFILIATED COLLEGES

Section - 43 In this Chapter unless the context otherwise requires the expressions defined in the succeeding statutes shall be construed accordingly.

- 'Class four' means a post carrying a pay scale lower than a pay scale of a Routine Clerk and the expressions 'Class four' employee and 'Class four' Staff shall be construed accordingly.
- (2) 'College' means a college affiliated to the University in accordance with the provision of the Act or the Statutes of the University but does not include a college maintained exclusively by the State Government or a local authority.
- (3) 'Employee' means a salaried employee not being a teacher of a college and its grammatical variations and cognate expressions shall be construed accordingly.
- (4) 'Armed Forces of the Union' means the Naval, Military or Air Forces of the Union and includes the Armed Forces of the former Indian States.
- (5) 'Disabled ex-servicemen' means an ex-serviceman who while serving in the 'Armed Forces of the Union' was disabled in the course of operation against the enemy or in disturbed areas.
- (6) 'Ex-servicemen' means a person who had served in any rank (whether as a combatant or non-combatant) in the Armed Forces of the Union for a continuous period of not less than six months and
  - (i) Has been released (otherwise than by way of discharge account of misconduct or inefficiency) or has been transferred to the reserve pending such release, or
  - (ii) Has to serve for not more than six months for completing the period of service requisite for becoming entitled to be so released or transferred to the reserve.

Section - 49 (o) 18.02,

- (1) Subject to the provisions of these Statutes the appointment to the posts referred to in Statute 18.03 shall be made by the Management of the college and appointment to the post of class four employees shall be made by the Principal.
- (2) The appointing authority referred to in clause (1) shall have the power to take disciplinary action and award punishment against the class of employee of which he is appointing authority.
- (3) Every decision of the appointing authority referred to in clause (2) shall, before it is communicated to the employee, be reported to the District Inspector of Schools and shall not take effect unless it has been approved by him in writing:

Provided that nothing in this clause shall apply to any termination of service on the expiry of the period for which the employee was appointed:

Provided further that nothing in this clause shall apply to an order of suspension pending enquiry, but any such order may be stayed, revoked or modified by the District Inspector of Schools. (4) An appeal against the order referred to in clause (2) and clause (3) shall lie to the Regional Deputy Director of Education".

Section - 43

18.03.

- (1) Appointment to the post of Pharmacist, Routine clerk or any other post either in the pay scale of, or in a pay scale higher than that of Routine Clerk other than the posts mentioned in clause (2) or clause (3) shall be made by direct recruitment on the recommendation of a selection committee in the manner provided in clause (6), after advertisement of the vacancy in the newspapers:
- (2) Appointment to the post of Assistant shall be made by promotion according to seniority subject to suitability and fitness from amongst Routine Clerks.
- \*(3) Appointment to the post of Head Clerk-cum Accountant, Head Clerk, Accountant, Office Superintendent and Bursar shall be made by promotion on the basis of the recommendations of the Selection Committee in the manner provided in clause (6) according to seniority, subject to suitability and fitness from amongst: the existing employees having required qualifications. In case of non-availability of qualified and suitable candidates from amongst the existing staff, appointments on such posts may be made by direct recruitment on the basis of the recommendation of the Selection Committee in the manner provided in clause (6) after advertisement of the vacancy in newspapers.
- (4) Appointment of employees shall be subject to the approval of the Directorate of Education (Higher Education), or an officer authorized by him in this behalf.
  - If the approving authority does not within two months from receiving the proposal for approval intimate its disapproval or does not send any intimation in respect of such proposal to the appointing authority the approving authority shall be deemed to have approved the appointment.
- (5) Appointment of permanent posts shall be made on probation for one year. The period of probation may be extended if the candidate's work is not found to be satisfactory provided that the total period of probation shall not exceed three years. The extended period of probation shall not count for increment,
- (6) (a) The selection committee for the appointment of the remaining posts referred to in clause (1) or clause (3) either by direct recruitment or by promotion shall consist of-
  - the Head of the management or a member of the management nominated by him who shall be the Chairman;
  - (ii) the Principal of the college;
  - (iii) the District Inspector of Schools;

<sup>\*</sup>U.P. Govt. Notification No. 6806/XV-X-86-10 (1)/85 Dated March 31, 1987, R.U. 21th Amendment, Statutes 1987

- (iv) the District Employment Officer or an officer authorized by him in this behalf.
- (b) For purposes of direct recruitment, to the posts referred to in clauses (1) (2) and (3) the vacancy shall be advertised in at least two newspapers having adequate circulation in Uttar Pradesh and names of suitable candidates, shall also be obtained from the concerned District Employment Officer.
- (c) Names of the candidates for appointment to a post in class four shall be obtained from the concerned District Employment officer. In the event of non-availability of suitable candidate in such manner the post may be advertised.
- (d) No employee shall be eligible for payment of salary from the Salary Payment Account unless the permission, as contemplated by sub-clause (b) of clause (iii) of section 60-A of the Act has been accorded.
- (e) If the management does not agree with the recommendations of the selection committee, it shall refer the matter to the approving authority along with the reasons of its disagreement, and the decision of the said authority shall be final.

Section - 49 (o)

18.04.

- Reservation shall be made for Scheduled Caste and Scheduled Tribes candidates for appointment to the post referred to in Statutes 18.03. The percentage of such reservation shall be equal to the percentage prescribed for appointment in Government service.
- (2) Ten percent of the vacancies in class III services and posts and five percent of the vacancies in class IV services and post, including temporary vacancies which are likely to be made permanent or to continue for a period of more than one year, to be filled by direct recruitment in any year, shall be reserved for being filled by ex-servicemen.

Provided that the vacancies so reserved shall be utilized first for the appointment of disabled ex-servicemen so long as the duties of the post to be filled are not such the disabled exservicemen are incapable of performing due to their disability; and if any such vacancies still remain unfilled, the same shall then be utilized for appointment of other ex-servicemen.

Section - 49 (o)

18.05. A candidate for employment in a college must be:-

- (a) a citizen of India, or
- (b) a Tibetan refugee who came over to India before January 1, 1962, with the intention of permanently settling in India, or
- (c) a person of Indian origin who has migrated from Pakistan, Burma, Ceylon and East African Countries of Kenya, Uganda and United Republic of Tanzania (formerly Tanzanyika and Zanzibar) with the intention of permanently settling in India:

Provided that a candidate belonging to category (b) or category (c) must be a person in whose favor a certificate of eligibility has been issued by the State Government:

Provided further that a candidate belonging to category (b) will also be required to obtain a certificate of eligibility from the Deputy Inspector General of Police, Intelligence Branch, U. P.

Section - 49 (o)

18.06.

- (1) For appointment in a college to the posts specified below, the minimum qualification shall be as noted against each category:-
  - (v) Clerical Staff for the post of a routine clerk, assistant, head clerk-cum accountant and head clerk, Intermediate or an examination recognized by the State Government as equivalent thereto:
    - Provided that in the case of head clerk-cum-accountant and head clerk experience in the post of routine clerk or assistant, in a post-graduate or degree or intermediate college for a period of at least ten years shall be necessary.
  - (vi) Laboratory Assistant For the post of laboratory assistants, Intermediate or an examination recognized by the State Government as equivalent thereto, in subject with which the laboratory is concerned, or High School or an examination recognized by the State Government as equivalent thereto with at least five years' experience as laboratory bearer in the laboratory of the subject concerned.
  - (vii) Office Superintendent For the post of Office Superintendent, degree from a recognized University established by law together with at least ten years working experience as Head Clerk or Accountant in a college affiliated to or associated with a University or in any other similar institution.
  - (viii) Assistant Accountant For the post of Accountant, a degree in Commerce from a recognized University established by law, together with at least ten years working experience as accounts clerk.
  - (ix) Bursar For the post of Bursar a degree from a recognised University established by law with at least ten year's working experience as Office Superintendent or Accountant in a degree or post-graduate college.
  - (x) Class four Staff For class IV posts, passed Class V from a recognized school.
    - Other posts For any other post not covered by the preceding clauses, such minimum qualification as may be specified by the State Government by general or special orders,

Provided that no educational qualification shall be required for the post of sweeper but preference will be given to a person who is educated or is at least able to read and write Hindi in Devnagri script.

"Provided further that-

- (i) the minimum qualification for appointment of an ex-serviceman to reserved vacancies in class III services and posts shall be Intermediate wherever the qualification prescribed in this Statute is a degree of a University, and High School or any other qualification recognized as equivalent thereto wherever the qualifications prescribed in this Statute is Intermediate, and there shall be no relaxation where the prescribed qualification is High School or a qualification equivalent thereto.
- (ii) for class IV services and posts, no educational qualification shall be required for ex-serviceman otherwise considered suitable, in the reserved vacancies in such services and posts.
- (2) No employee who does not possess the qualifications prescribed in clause (1) shall, after the commencement of these Statutes, be eligible for promotion or confirmation unless he attains the aforesaid qualifications;

Provided that nothing contained in this statute shall affect the promotions and confirmations made prior to commencement of these Statutes.

\*18.07. For appointment of an employee in a college through direct recruitment, the minimum age of the candidate shall be 18 years and the maximum age for the post or a routine clerk or a post in equivalent scale of pay, shall be 35 years and for any other post referred to in clauses (1) and (3) of Statute 18.03, shall be 40 years. The maximum age shall be higher by five years in the case of a candidate belonging to a Scheduled Caste or a Schedule Tribe:-

Provided that with the prior consent of the Director of Education (Higher Education) the condition of maximum age limit of 40 years referred to above may be relaxed up to 5 years in special circumstances;

Provided further that the maximum age limit shall not apply to an employee referred to in Statute 18.16 and provison to Clause (1) of Statute 18.03;

"Provided also that for appointment to a vacancy reserved for exservicemen the maximum age shall be higher by the period of service of the candidate in the Armed Forces plus three years."

18.08. It shall be the duty of the appointing authority to satisfy himself that the character of a candidate for employment by direct recruitment as such as to render him suitable in all respects for employment in a college.

<sup>&</sup>quot;No. E-1890/GS., dt. January 27, 2006

		NOTE - Persons dismissed by the State Government, the Union Government or by any other state Government or a local authority shall be deemed ineligible.
Section - 49 (o)	18.09.	No candidate shall be employed in a college unless he is in good mental and physical health and free from any defect likely to interfere with the efficient performance of his duties. Before a candidate is finally approved for appointment he shall be required to produce a medical certificate of fitness from a Medical officer in-charge of a hospital established by the State Government.
Section - 49 (o)	18.10.	The employees shall be given the scale of pay and allowances may be prescribed by the State Government from time to time.
		"Explanation - An ex-servicemen appointed in a vacancy reserved for ex-servicemen shall not be entitled to any higher pay merely on account of his past service in the Armed Forces of the Union."
Section - 49 (o)	18.11.	<ol> <li>Every employee shall maintain highest order of integrity with regard to his work and conduct.</li> </ol>
		(2) Every employee shall comply with the orders or directions of the Management and the Principal (including the orders or directions issued in the implementation of the orders of the State Government or the University),
		(3) The Principal of the college will maintain the character roll of every employee in which the confidential report about his work and conduct shall be recorded every year. Adverse entries shall be communicated to the employee concerned as soon as possible so that he may improve his work and conduct accordingly.
		(4) An employee aggrieved by an adverse entry may represent to the Manager of the college through the Principal for the expunge of the adverse entry. The power to expunge the adverse entry on the basis of justification there for shall vest in the Managing Committee of the college concerned.
		(5) A Service Book of every employee shall be maintained under the control of the Principal.
Section - 49 (o)	18.12.	An employee who disobeys anyone or both of the provisions of clauses (1) and (2) of Statute 18.11 shall be liable to disciplinary action
Section - 49 (o)	18.13.	<ul> <li>(1) An employee shall be liable to be removed from service on any one or more of the following grounds, namely:-</li> <li>(a) gross negligence of duties;</li> <li>(b) misconduct;</li> <li>(c) insubordination or disobedience;</li> <li>(d) physical or mental unsuitability in the discharge of duties;</li> <li>(e) prejudicial conduct or activity against Government or the University or the college concerned;</li> </ul>

- (f) conviction by a Court of Law on charge involving moral turpitude.
- (2) If temporary employee resigns from service he shall give notice to this effect in writing to the Management of the College one month in advance otherwise he shall have to deposit one month salary with the college in lieu of the notice. Similarly if the Management of a college decides to terminate the service of an employee the Management shall give one month's notice to the employee or one month's salary in lieu thereof.
- (3) The services of a permanent employee may be dispensed with on the ground of abolition of post after giving him three months' notice in writing or three months' salary in lieu thereof. A post can be abolished on anyone or more of the following grounds, namely :-
  - (a) retrenchment on account of financial stringency;
  - (b) fall in enrolment of students; or
  - (c) Dis-continuance of the teaching in the subject to which the post relates.
- Section 49 (o) 18.14. The age of superannuation of an employee shall be sixty years. An employee who has attained the age of sixty years on or before the date of commencement of these Statutes shall be retired forthwith.
- 18.15. (1) The leave rules applicable to the Government servants from time to time shall 'mutatis mutandis' apply to the employees of like status.
  - (2) The Principal shall be the authority to sanction all kinds of leave to class IV employees and casual leave to other employees.
  - (3) Application of an employee other than class IV for leave (other than casual leave), shall be forwarded by the Principal with his recommendation to the Manager of the College who shall be the authority to sanction the same.
  - (4) All records relating to leave will be maintained by the Principal who shall send copies of the orders sanctioning leave (other than casual leave) to the Regional Deputy Director of Education or the person authorized by him to disburse the salaries of the employees. The Principal shall also mention the period and nature of leave in the salary bill.
- 18.16. A whole-time employee of one college appointed to another college Section - 49 (o) receiving maintenance grant from the State Government shall, after regular selection, be entitled to receive salary not less than what he was getting in the college in which he was previously working, if the employee :-
  - (a) was permanent on his post in the previous college and such college was on the grant-in-aid list;

Section - 49 (o)

- (b) has obtained the permission of the Manager of the previous college for service in the new college and the Management of the previous college has no objection in relieving him;
- (c) furnishes a certificate from the Manager of the previous college to the effect that there were no unusual and adverse circumstances in which the employee left that college;
- (d) furnishes the last pay certificate from the previous college duly countersigned by the District Inspector of Schools' concerned. Explanation: (1) On being appointed in the new college the service rendered in the previous college shall not count towards seniority. Seniority in the new college shall be reckoned from the date of appointment in the new college and the annual increment shall fall due after completing one year's service in the new college from the date of taking over charge or the duties in that college.
  - (2) The employees shall not be entitled to receive any traveling allowance' for journeys performed by him to join his duties in the new college. He shall however, be allowed journey time at following rates:-
  - (a) one day for each 500 km for places connected by Train;
  - (b) one day for each 150 km. for places connected not by Train but connected by Bus;
  - (c) one day for each 25 km for places neither connected by Train nor by Bus.

#### CHAPTER XVIII-A

# Employment to be given to the Dependant of a Deceased Employees of the University and Colleges

18 (A) Where a permanent 'employee' dies while in service, and wife/ husband/ depenendent (as the case may be) of the deceased employee, who is not in service under Central Govt. or State Govt. or any Corporation under the control or ownership of the Central Govt. or State Govt, who applied for a vacant post of class III and class IV employee within 05 years of death of employee and possesses minimum employee educational qualification for such post shall, with the prior approval of the Director (Higher Education), be appointed by the Management in relaxation of the procedure for selection and maximum age limit.

Explanation for the purposes of this Statute:

- 'dependant' means the son, unmarried or widowed daughter; widow of son or daughter (Vidhur);
- (2) 'employee' also includes, teacher employed in the institution.

<sup>\*</sup>U.P. Govt. Notification No. 3259/XV-X-87-15 (382)/86 Dated July 8, 1987, R.U. 16th Amendment, Statutes 1987
\*\*No. 377/70-1-2013-16(114)/2010 dt. Dec. 03, 2013. \*\*\*U.P. Govt. Notification No. 4174/XV-X-88-15 (382)/86 Dated June 29, 1988, R.U. 19th Amendment, Statutes 1988. (Exam) No. E-1517/G.S. dt. July 03, 2006

# CHAPTER XIX MISCELLANEOUS

		MISCELLANEOUS
Section - 7 (12) and 49 (p)	19.01.	The University may institute an award, scholarships, fellowships (including traveling fellowships) and studentships, medals and prizes in accordance with the provisions laid down in the Ordinances.
Section - 49 and 64	19.02.	All elections to an authority or body of the University according to the system of proportional representation by means of single transferable vote shall be held in the manner laid down in Appendix A.
Section - 7	19.03.	Subject to the provisions of section 7, the University may allow any person to appear as a private candidate at any examination conducted by the University provided that-
		(a) such person fulfils the requirements laid down in Ordinances; and
		(b) such examination does not relate to a subject or course of study in which practical examination is a part of the curriculum.
Section - 7	19.04.	The provision of Statute 19.03, shall 'mutatis mutandis' apply to correspondence course.
	*19.05	Notwithstanding anything referred in Statute or ordinance of the University:
		<ol> <li>In any academic year, no admission will be given after 31st August.</li> </ol>
		<ul><li>(ii) All the examinations which are conducted by the University will be held by 30th April.</li></ul>
		(iii) All the results will be declared by 15th June.
		Provided that for the academic year 1986-87, all University examinations can be completed up to 15th June 1987 and all results shall be declared by 31st July 1987. Admission for the session 1987-88 can be completed by 15th Sept 1987
	**19.06	With the view to improving his result a candidate may be allowed to appear in one subject in any part of the undergraduate examination and in one paper in B.Ed. or any one year in the LL.B. or any part of the post graduate examination in the next regular examination of the University.
	***19.07	CREATION AND FILLING UP OF TEACHING POSTS

- 19.7.01 Teaching posts in Universities, as for as feasible, may be created in accordance with the provisions contained in Section 21 (3) of the Act in a pyramidal order, that is, for instance, for one post of Professor, there shall be two posts of Associate Professors and four posts of Assistant Professors, per department.
- 19.07.02 All the sanctioned/approved posts in the University system shall be filled up on an urgent basis.

<sup>\*</sup>U.P. Govt. Notification No. 3259/XV-X-87-15 (382)/86 Dated July 8, 1987, R.U. 16th Amendment, Statutes 1987,

<sup>\*\*</sup>No. 377/70-1-2013-16 (114)/2010 dt. Dec. 03, 2013, Substituted Vide U.P. Govt. Notification No. 4168/XV-X-88-15(382) Dated 23.06.1988. \*\*\*U.P. Govt. Notification No. 4174/XV-X-88-15 (382)/86 Dated June 29, 1988, R.U. 19th Ammendment, Statutes 1988. (Exam) No. E-1517/G.S. dt. July 03, 2006.

\*19.08 In view of the maximum age limit for State employee, the maximum age limit for appointment of the general candidate of non-teaching staff shall be 35 years and for other reserved categories it shall be 40 years.

#### \*\*19.09. WORK LOAD

19.09.01. The work load of the teachers in full employment should not be less than 40 hours (not periods) a week for 30/33 working weeks (180 teaching days/200 teaching days) in an academic year. It should be necessary for the teacher to be available for at least five hours (of 60 minutes) daily in the University/college for which necessary space and infra structure should be provided by the University/college. Direct teaching - learning process hours in a week should be as follows:

Assistant Professor 16 hours of 60 minutes each

Associate Professor

And Professor 14 hours of 60 minutes each

19.09.02 A relaxation of two hours in the workload may, however, be given to the Professors who are actively involved in extension activities and administration. A minimum of six hours per week may have to be allocated for research activities of a teacher.

#### 19.10 OTHER PROVISIONS

Save as otherwise provided in these Statutes, other provisions, not covered by these Statutes shall be govern by the relevant provisions of University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and colleges) and other measures for the maintenance of standards in Higher Education Regulations, 2018 as well as law in force time to time issued by State Government in prescribed manner.

# \*CHAPTER XX SURCHARGE

Definitions 20.01 In these Statutes unless there is anything repugnant in the subject or context -

- (1) 'Examiner' means the Examiner, Local Fund Accounts, U.P.
- (2) 'Government' means the Government of Uttar Pradesh.
- (3) 'Officer of the University' means an Officer mentioned in any of the clauses (c) to (h of section 9 of the Act and the Officers declared as such under Statute 2.01-A.
- 20.02 (1) In any case where the Examiner considers that there has been a loss, waste of misapplication, which includes mis-appropriation or unjustifiable expenditure, of any money or property of the University as a direct consequence of neglect or misconduct of an officer he may call upon the officer to explain in writing

<sup>\*</sup>No. E-1517/GS dt. 03.07.2006

<sup>\*\*</sup>No. 377/70-1-2013-16 (114)/2010 dt. Dec. 03, 2013.

why such officer should not be surcharged with the amount of such loss, waste or misapplication of money or the amount which represents the loss, waste or misapplication of property and such explanation will be furnished within a period not exceeding two months from the date such requisition is communicated to the person concerned:

Proviced that explanation from any of the officers other than the Vice Chancellor shall be called for through the Vice Chancellor.

NOTES - (1) Any information required by the Examiner, or by a person appointed by him for the purpose, for preliminary inquiry shall be furnished and all connected papers and records shown to him by the officer (or if such information, papers or records are in possession of a person other than the said officer, by such person) within a reasonable time not exceeding two weeks, in any case.

- (2) Without prejudice to the generality of the provisions contained in clause (1) the Examiner may call for the explanation in the following cases -
- (a) Where expenditure has been incurred in contravention of the provisions of these Statutes or of the Act of the ordinances or regulations made thereunder;
- (b) Where loss has been caused by acceptances of a higher tender without sufficient recorded reasons:
- (c) Where any sum due to the University has been remitted in contravention of the provisions of these Statutes of the Act or of ordinances or regulations made thereunder:
- (d) Where loss has been caused to the University by neglect in realising its dues;
- (e) Where loss has been caused to the funds or property of the University on account of want of reasonable care for the custody of such money or property.
- (3) On the written requisition of the officer from whom an explanations has been called the University shall give him necessary facilities for inspection of the connected records. The examiner may, on an application from the officer concerned, allow a reasonable extension of time for submission of his explanation if he is satisfied that the officer charged has been unable for reasons beyond his control to inspect the connected records for the purpose of furnishing his explanation.

Explanation

Making of an appointment in contravention of the Act or the Statutes or ordinances made thereunder shall amount to misconduct and payments to the person concerned of salary or other dues on account of such irregular appointment will be deemed to be a loss, waste or misapplication of University money.

20.03 After the expiry of the period prescribed and after considering the explanation, if received within time, the Examiner may surcharge the officer with the whole or a part of the sum for which such officer may in his opinion be liable:

Provided that in the case of loss, waste or misapplication accruing as a result of neglect or misconduct of two or more officets each such officer shall be jointly and serverally liable: Provided also that no officer shall be liable for any loss, waste

opr misapplication after the expirty of ten years from the occurrence of such loss, waste or misapplication or after the expiry of six years from the date of his ceasing to be such officer which ever is later.

20.04 Any officer aggrieved by an order of surcharge passed by the Examiner may prefer an appeal to the Commissioner of the division in which the University is situated within thirty days from the date on which such order is communicated to him The Commissinor may confirm, rescind or vary the order passed by the Examiner or may pass such order as he think fit. The order so passed shall be final, and no appeal shall lie against it.

20.05 (1) The officer who has been surcharged shall pay the amount of surcharge within sixty days from the date on which such order is communicated to him or within such further time, not exceeding one year, from the said date as may be permitted by the Examiner:

> Provided that where an appeal has been preferred under Statutes 20.04 against the order of surcharge passed by the Examiner all proceedings for recovery of the amount from the person who has preferred the appeal may be stayed by the Commissioner until the appeal has been finally decided.

(2) If the amount of surcharge is not paid within the period specified in clause (1) it shall be recoverable as arrears of land revenue.

20.06 Where a suit is instituted in a court to question an order of surcharge and the Examiner or the State Government is a defendant in such a suit, all costs incurred in defending the suit shall be paid by the University and it shall be the duty of the University to make such payment without any delay".

#### APPENDIX "A"

(See Statutes 4.12, and 19.02.)

### Election by Proportional Representation by Means of Single Transferable Vote

#### PART I-GENERAL

- Unless there is anything repugnant to the subject or context with reference to any election by proportional representation by single transfers vote:
  - "Candidate" means a person duly qualified to seek election who has been duly nominated.
  - (ii) "Continuing candidate" means a candidate not elected and not excluded from the poll at any given time.
  - (iii) "Elector" means a person who is duly qualified to give his vote in the election.
  - (iv) "Exhausted Paper" means a ballot paper on which no further preference is recorded for a continuing candidate provided that a paper shall also be deemed to be exhausted if-
    - the names of two or more candidates whether continuing or not are marked with the same figure and are next in order of preference, or
    - (b) the name of the candidate next in order of preference whether continuing or not is marked-
      - (1) by a figure not following consecutively after some other figure on the ballot paper, or
      - (2) by two or more figures.
  - (v) "First preference vote" means the vote for a candidate against whose name the figure 1 appears on a ballot paper, "Second preference vote" means the vote for a candidate against whose name the figure 2 appears, "Third preference vote" means the vote for a candidate against whose name the figure 3 appears and so on.
  - (vi) "Original vote" in regard to any candidate means a vote derived from a ballot paper on which a first preference is recorded for such candidate.
  - (vii) "Quota" means the lowest value of votes sufficient to secure the return of a candidate.
  - (viii) "Surplus" means the number by which the value of votes of any candidate, original and transferred, exceeds the quota.
  - (ix) "Transferred vote" in regard to any candidate means a vote which is derived from a ballot paper on which a second or subsequent preference is recorded for such candidate and the value or part of the value of which is credited to such candidate.
  - (x) "Unexhausted paper" means a ballot paper on which a further preference is recorded for a continuing candidate.
- The Registrar shall be the Returning Officer responsible for the conduct of all elections.
- The Vice-Chancellor shall-
  - appoint the dates for the various stages of each election in conformity with the provisions of the Statutes and shall have power to alter these dates in case of any emergency except where such alteration contravenes the provisions of the Statutes;
  - (ii) decide in case of doubt the validity or otherwise of a vote recorded.
- 4. The election of members of the Court representing Registered Graduates (and such other elections as the Vice-Chancellor may for reasons of convenience or economy direct) shall be conducted by postal ballot. Other elections shall be conducted at meetings of the Authorities or bodies concerned.

A voting paper shall be in the following form:

	NAME OF CHIVERSTIT
Election by	Constituency.
Name of candidate and Order of	of preference (to be indicated in the space) by the numerical 1, 2, 3, etc.
200	

- An elector in recording his vote-
  - (i) must place on his voting paper the figure 1 opposite the name of the candidate for whom he votes, and

NAME OF UNIVERSITY

- (ii) may, in addition, indicate the order of his choice or preference for as many other candidates, as he pleases by placing against their respective names the figures 2, 3, 4 and so on, consecutive numerical.
- 7. A voting paper shall be invalid on which-
  - (i) the figure 1 is not marked, or
  - (ii) the figure 1 is placed opposite the name of more than one candidate, or
  - (iii) figure 1 and some figures are marked opposite the name of the same candidate, or
  - (iv) the figure 1 is so marked as to render it doubtful to which candidate it is intended to apply, or
  - (v) in an election by ballot any mark is made by which the voter may afterwards be identified, or
  - (vi) there is any erasure or alterations in the figure indicating the voter's preferences, or
  - (vii) it is not on the form provided for the purpose.

#### Part II-Elections conducted by Postal Ballot

- 8. At least three months before the vacancies to be filled by election by postal ballot are due to occur; the Registrar shall cause a notice to be issued under a registered cover to each qualified voter at his registered address calling on him to submit nomination within fifteen days of the posting of the notice. The notice shall be accompanied by a list of voters.
- 9. The Registrar shall have power to correct any error and supply any omission brought to his notice in the list of voters. If the name of a person is removed from the list, his vote shall not be counted even if he has received the voting paper and recorded his vote, and a certificate, that this has been so done, shall be recorded by the Registrar and the persons, if any, associated with him in preparing the result of the election.
- Every elector shall have the option of nominating any number of candidates not exceeding the number of places to be filled.
- 11. Every nomination paper shall be signed by a proposer who shall himself be an elector and shall be accompanied by the assent of the candidate nominated for election either in writing or by signing the nomination paper. It may bear the signature of other electors as supporters of the nomination. But no candidate shall sign as proposer or seconder, a nomination paper on which his own name appears as a candidate.
- 12. The nomination paper shall be delivered to the Registrar in a closed cover either in person by the proposer or an elector who supports the nomination or through registered post, within the time mentioned in the notice.
- 13. It shall be open to a candidate to withdraw from an election by sending to the Registrar, so as to reach him before the day and hour fixed as the last day for the receipt of nomination, and intimation of withdrawal in writing signed by himself and attested by a Stipendiary Magistrate, a Gazetted Officer, or the Principal of a

College associated with or affiliated to a University. The attestation should be under the seal of the officer concerned.

- 14. The Registrar shall notify the place, date and time for the opening of the covers containing the nomination papers. Such candidates or electors as may desire to be present may do so on the occasion.
- 15. The Registrar shall prepare list of valid nomination. If the nomination paper is rejected by the Registrar, he shall inform the candidates within two days stating the reasons for such rejection. It shall be open to the candidate to send within three days of the receipt of such communication a request that the matter be referred to the Vice-Chancellor. The matter shall than be referred to the Vice-Chancellor whose decision shall be final.
- 16. If the number of candidates duly nominated does not exceed the number of places to be filled, the Registrar shall declare them elected. In case any place remains unfilled a fresh election shall be held in like manner to fill it and such election shall be deemed to be a part of general election.
- If the number of candidates duly nominated exceed the number of places to be filled an election shall be conducted.
- 18. The Registrar shall within 15 days of the completion of scrutiny send by registered post to each elector at his registered address a voting paper together with, a cover bearing the name of the constituency only and a larger cover on the left side of which are written or, printed the number of elector on the electoral roll, the name of the constituency, and on the right side the address of the Registrar of the University. The Registrar shall also enclose a certificate of identity.
- 19. (i) The elector shall sign the certificate of identity and have it duly attested by any of the following persons:
  - (a) The Registrar of any University established by law in India for the time being.
  - (b) The Principal of a College associated with or affiliated to any such University or Head of a Department of teaching of such University.
  - (c) Any Gazetted Officer of the Government.
  - (ii) The Attesting Officer shall attest with his full signature and under his seal.
  - (iii) The elector shall enclose the voting paper duly filled in but without his name or signature in a smaller cover, and then enclose it in the larger cover along with the certificate of identity duly signed and attested and send the same duly sealed with either by registered post or deliver it personally to the Registrar.
- 20. The voting paper must reach the Registrar by the time and date fixed. If received after the appointed time and date, it shall be rejected by him.
- 21. If two or more voting papers are sent in the same cover they shall not be counted.
- 22. A voter who has not received his voting paper and other connected paper, or who has lost them or whose papers before their return to the Registrar have been inadvertently spoiled, may send a declaration to that effect signed by himself and request the Registrar to send him duplicate papers in place of those not received, lost or spoiled. The Registrar in place of those not received, lost or spoiled, may, if he is satisfied, issue another copy marked "Duplicate".
- The Registrar Shall keep the voting papers sealed and unopened in safe custody until the date and time fixed for their scrutiny.
- 24. Due notice of such date, time and place of scrutiny shall be given by the Registrar to all the candidates who shall have the right to be present during the scrutiny:

Provided that no candidate shall be entitled to ask for the inspection of such voting paper.

- The Registrar, Where necessary, shall be helped by such other persons as may be appointed by the Vice-Chancellor for assigning him in the scrutiny work.
- At the appointed date, the time and place the Registrar shall open the covers containing the voting papers and scrutinize them and separate those that are not valid.
- 27. The valid papers shall then be sorted into parcels, each parcel containing all the papers on which the first preference is recorded for a particular candidate.
- For the purpose of facilitating the process prescribed by this Statute each ballot paper shall be deemed to be
  of the value of one hundred.
- The Registrar shall in carrying out the provisions of the Statute
  - disregard all fractions;
  - (ii) ignore all preferences recorded for candidate already elected or excluded from the poll.
- 30. The Registrar shall then add together the values of the papers in all the parcels, divide total by a number exceeding by one the number of vacancies to be filled and add one to the quotient. The number thus obtained shall be the "quota".
- 31. If at any time candidates equal in number to the number of persons to be elected have obtained the quota, such candidates shall be treated as elected and no further proceeding shall be taken.
- (i) Every candidate the value of whose parcel, on the first preference being counted is equal to or greater than the quota shall be declared elected.
  - (ii) if the value of the papers in any such parcel is equal to the quota, the papers shall be set aside as finally dealt with.
  - (iii) If the value of the papers in any such parcel is greater than the quota, the surplus shall be transferred to the continuing candidates indicated on the ballot paper as next in the order of the voter's preference, the manner prescribed in the Statute hereinafter appearing.
- 33. (i) If and whenever as the result of any operation prescribed by the Statute above, a candidate has any surplus, that surplus shall be transferred in accordance with the provisions of the Statute.
  - (ii) If more than one candidate has a surplus the largest surplus shall be dealt with first and the others in a decreasing order of magnitude provided that every surplus arising on the first count of votes shall be dealt with before those arising on the second count, and so on.
  - (iii) Where two or more surplus are equal, the Registrar shall decide according to the terms prescribe in sub-clause (ii) above which shall be first dealt with.
  - (iv) (a) If the surplus of any candidate to be transferred arises from original votes only, the Registrar shall examine all papers in the parcel belonging to the candidate whose surplus is to be transferred and divide the unexhausted papers into sub-parcels according to the next preference recorded thereon. He shall also make a separate sub-parcel of the exhausted papers.
    - (b) He shall ascertain the value of the papers in each sub-parcel and of the unexhausted papers.
    - (c) If the value of unexhausted papers is equal to or less than the surplus, he shall transfer all the unexhausted papers at the value at which they were received by the candidates whose surplus is being transferred.
    - (d) If the value of the unexhausted papers is greater than the surplus, he shall transfer the subparcels of unexhausted papers and the value at which each paper shall be transferred shall be ascertained by dividing the surplus by the total number of unexhausted papers.

- (v) If the surplus of any candidate to be transferred arises from transferred as well as original votes, the Registrar shall re-examine all the papers in the sub- parcels last transferred to the candidate and divide the unexhausted papers into sub-parcels according to the next preference accorded thereon. He shall thereupon deal with the subparcels in the same manner as is provided in the case of sub-parcels referred to in the last preceding clause.
- (vi) The papers transferred to each candidate shall be added in the form of a sub-parcel to the papers already belonging to such candidate.
- (vii) All papers in the parcel or sub-parcels of an elected candidate not transferred under this clause shall be set aside as finally dealt with.
- 34. (i) If after all surpluses have been transferred as hereinafter directed less than the number of candidates required has been elected the Registrar shall exclude from the poll the candidate lowest on the poll and shall distribute his unexhausted papers among the continuing candidates according to the next preferences recorded thereon. Any exhausted papers shall be set aside as finally dealt with.
  - (ii) The papers containing original votes of an excluded candidate shall first be transferred, transfer value of each paper being one hundred.
  - (iii) The papers containing transferred votes of an excluded candidate shall then be transferred in the orders of the transfers in which and at the value at which he obtained them.
  - (iv) Each of such transfers shall be deemed to be a separate transfer.
  - (v) The process directed by this clause shall be repeated on the successive exclusions one after another of candidates lowest on the poll until the last vacancy is filled either by the election of candidate with the quota or as hereinafter provided.
- 35. If as the result of a transfer of papers the value of the votes obtained by a candidate is equal to or greater than the quota the transfer proceedings shall be completed but no further papers shall be transferred to, him.
- 36. (i) If after the completion of any transfer under the said clause the value of the votes of any candidate is equal to or greater than the quota he shall be declared elected.
  - (ii) If the value of the votes of any such candidate is equal to the quota, the whole of the paper on which such votes are recorded shall be set aside as finally dealt with.
  - (iii) If the value of the votes of any such candidate is greater than the quota, his surplus shall thereupon be distributed in the manner hereinbefore provided before exclusion of any other candidate.
- (i) When the number of continuing candidates is reduced to the number of vacancies remaining unfilled the continuing candidates shall be declared elected.
  - (ii) When only one vacancy remains unfilled and the value of the votes of any continuing candidate exceeds the total value of all the votes of other continuing candidates, together with any surplus not transferred, that candidate shall be declared elected.
  - (iii) When only one vacancy remains unfilled and there are only two continuing candidates and those two candidates have each the same value of votes and no surplus remains capable of transfer one candidate shall be declared excluded under the next succeeding clause and the other declared elected.
- 38. If and when there is more than one surplus to distribute, two or more surpluses are equal if at any time it becomes necessary to exclude a candidate and two or more candidate have the same value of votes and are lowest on the poll regard shall be had to the original votes of each candidate and the candidate for whom

- fewest original votes are recorded shall have his surplus first distributed or shall be first excluded, as the case may be. If the values of their original votes are equal the Registrar shall decide by lot which candidate shall have his surplus distributed or excluded.
- 39. Recounting- The Registrar may, either on his own initiative or at the instance of any candidate, recount votes, whether once or more than once when the Registrar is not satisfied as to the accuracy of a previous counting:
  - Provided that nothing herein contained shall make it obligatory on the Registrar to recount the same more than once.
- 40. After the scrutiny is completed, the Registrar shall forthwith report the result to the Vice-Chancellor.
- The Registrar shall place the nomination papers and the ballot papers in a sealed packet which shall be preserved for a period of one year.

### Part III Elections held at Meetings

42. In case of an election conducted at a meeting of a University Authority or body it shall not be necessary to publish the electoral roll for the purpose of eliciting claims and objections or to invite nominations in advance. The members of the Authority or body concerned present at the meeting duly convened shall take part in the election. Names may be proposed for election and candidature withdrawn, in advance or at the meeting. The voting paper supplied to voters shall show the names of which notice was received in time for printing and shall contain blank spaces, with addition of names including those proposed at the meeting. A notice of the meeting at which the election is to be held mentioning the time, date and place of such meeting together with lists of the members shall be sent by the Registrar to each member. The period of notice shall be fixed the Vice-Chancellor.

#### APPENDIX 'B'

(See STATUTES 14.03 AND 14.27)

#### CODE OF CONDUCT FOR TEACHERS

Whereas a teacher, conscious of his responsibilities and the trust placed in him to mould the character of the youth and to advance knowledge, intellectual freedom and social progress, is expected to realize that he can fulfill the role of moral leadership more by example than by precept through a spirit of dedication, moral integrity and purity in thought, word, and deed;

Now, THEREFORE, in keeping with the dignity of his calling, this code of conduct is hereby laid down to be truly and faithfully observed:

- Every teacher shall perform his academic duties with absolute integrity and devotion.
- No teacher shall show any partiality or bias in the assessment of the students nor shall he/she practice victimization against them.
- 3. No teacher shall incite one student against another or against his colleagues or the alma-mater.
- 4. No teacher shall discriminate against any pupil on grounds of caste, creed, sect, religion, sex, nationality or language. He shall also discourage such tendencies amongst his colleagues, subordinates and students, and shall not try to use the above considerations for the improvement of his own prospects.
- No teacher shall refuse to carry out the decisions of the appropriate bodies and functionaries of the University or the college, as the case may be.
- No teacher shall divulge any confidential information relating to the affairs of the University or college, as the case may be, to any person not authorized in respect thereof.
- Any teacher will not conduct coaching and part time home teaching (tuition)
- Teacher will be available to the students for their help and guidance after the period of teaching without remuneration
- In view of the completion of the academic programme any teacher as for as possible will take the leave with prior permission under unavoidable circumstances.
- 10. Teacher will always develop his/her academic achievements by continuous study, research and training
- 11. The teacher will always help in the academic responsibilities of the University or college as required like admission, help and counseling to the student, conduction of exam, invigilation, evaluation of answer books and academics as well as extracurricular activities.
- Teacher will develop the feeling of respect for physical work, scientific vision, democracy, patriotism and peace among the students.
- Teacher will not involve in any act of sexual harassment with any woman at work place.

Explanation:- Sexual harassment or any act or any sexual exiting conduct, includes:-

- a) Physical touch or sexual exiting related act
- (h) Request or acceptance of sex proposal
- (i) Comment related to sexuality
- (i) Showing the material of sexuality or sexual act
- (k) Physical, verbal or signally conduct related to sex

## APPENDIX 'BB' (See Statute 14.10-A)

Form of Agree	ment with N	Aembers o	f		
Teaching Staff	of the Univ	ersity			
Agreement	made	this		day	of
****	*************		20 between Sri/Smt./Km./		Of
the first part ar	nd the Unive	ersity of	(here in after called 'the university	y'	
IT IS HEREBY	AGREED	AS FOLL	OWS:		

Provided that the teacher shall be on probation for a period of one year in the first instance and the Executive Council may in its discretion extend the period of probation by one year.

- (2) That, the teacher shall retire in accordance with the provisions of the Statutes of the University.
- (3) The scale of pay attached to the post of teacher to which the teacher is appointed shall be ...... the party of the first part shall from the date he/she take charge of his/her said duties be granted pay at the rate of Rs ...... per month in the aforesaid scale and shall receive pay in the succeeding stages in the scale unless the annual increment is withheld in pursuance of the provisions of the Statute:
  - Provided that here an efficiency bar is prescribed in the time scale, the increment next above the bar shall not be given to the teacher without the specific sanction of the authority empowered to without increment.
- (4) That the teacher shall obey, and to the best of his/her ability carry out the lawful directions of the officer, authority or body of the University, to whose authority he/she may, while this agreement is in force, is subject under the provision of the said Act, or under any Statute, ordinances or regulations made there under.
- (5) That the teacher hereby undertakes to abide by the conform of the Code of Conduct laid down for the teachers, by the University, as amended form time to time.
- (6) That on the termination of this agreement for whatever cause, the teacher shall deliver to the University all books, apparatus, record and other articles belonging to the University that may be in his possession.
- (7) In all matters, the mutual rights and obligations of the parties hereto shall be governed by the Statutes and Ordinances of the University, for the time being in force, which shall

be deemed to be incorporated herein, and shall be as such or part of this agreement as if they are reproduced herein, and by the provisions of the Uttar Pradesh State Universities Act 1973

IN WITNESS WHEREOF THE Parties hereto affix their hands and seal on the day and year first above written.

Sig. of the Teacher	Sig. of the Finance Officer
Witnesses: 1	
2	Representing the university

# APPENDIX 'C'

(See STATUTES 14.02, 14.27 AND 14.30)

# (1) Form of Agreement with a Teacher (Other than a Principal) in Affiliated Colleges

	REEMENT made thisday of20 BETWEENof the first part hereinafter referred to as eacher and the Management of the			
Coll	ege through the Principal/Secretary of the second part hereinafter referred to as the college.			
	EREAS, the college has engaged the teacher to serve the College as subject to the conditions and upon the is hereinafter contained, now this Agreement witnessed that the teacher and the college hereby contract and agree as iws:			
1,	That the engagement shall be from the, day of 20and shall be determinable as hereinafter provided.			
2.	That the teacher is employed, in the first instance on probation for a period of one year and shall be paid a monthly salary of Rs			
3.	That on confirmation after the period of probation the college shall pay the teacher for the services at the rate o r (Rs			
	of Rs to Rs			
4,	That the said monthly salary shall become due on the first day of the month following that for which it is earned and the college shall pay it to the teacher not later than the fifteenth of each month.			
5.	That the teacher shall not make any representation to the University or to any member of the Management, except through the Principal who shall forward it to higher authorties.			
6.	That the teacher shall, in addition to the ordinary duties, perform such duties as may be entrusted to him by the Principal in connection with internal administration or activities of the College.			
7.	In all other respects the mutual rights and obligations of the parties hereto shall be governed by the Statutes of the University as amended from time to time and by the provisions of the Uttar Pradesh state University Act, 1973.			
5	Signed this, day of			
C	on behalf of the Management by			
	By the teacher in the presence of : Vitnesses :			
2.5				
	(2) Form of Agreement with a Principal of an Affiliated College			
AGI	REEMENT made this, day of			
	20 , BETWEENof the first part (hereinafter called the Principal) and the			
(her	einafter called the Management) of			
Coll	ege through the President of the second part.			

WHEREAS, the Management has engaged the part of the first part to serve the college as Principal subject to the conditions hereinafter contained, now this, AGREEMENT witnesseth that the Principal and the Management hereby contract and agree as follows:

- 4. That the said monthly salary shall become due on the first day of the month following that for which it is earned and the management shall pay it to the Principal not later than the fifteenth of each month.
- 5. The Principal shall perform all such duties as appertain to the Principal of an affiliated college and shall be responsible for due discharge of such duties. The Principal shall be solely responsible for the internal management and discipline of the said college including such matters as the selection of Text-Books in consultation with the senior-most teacher of the Department concerned, the Management of the college timetable, the allocation of duties to all the members of college staff, the appointment of Wardens, Proctors, Games Superintendents etc., the grant of leave to the staff, the appointment, promotion, control and removal of the inferior staff such as peons, daftaris, gardener, technicians, etc, the granting of free-ship and half free-ship to students within the number sanctioned by the Management, his control of the college or hostel or hostels through the Warden, the admission, discipline and punishment of students and the organization of games and other activities. He shall administer all student's funds, such as Games Fund, Magazine Fund, Union Fund, Reading Room Fund, Examination Funds, etc., with the help of Committees appointed by him and in accordance with the directions received by him from time to time from the University, and subject to audit and scrutiny of accounts by qualified accountant appointed by the Management not from amongst its members. The accountant's fee will be the legitimate charge on the students funds of the college.

He shall have all powers necessary for the purpose, including power in an emergency to suspend members of the staff, including teachers or staff pending report to any decision by the Management. In the spheres of his sole responsibility he shall follow the directions received from the University or Government in connection with the administration of the College. In financial and other matters, for which he is not solely responsible, the Principal shall follow the direction of the Management as issued to him in writing through the Secretary. All instructions by the Management or the Secretary to the members of the staff shall be issued through the Principal and no member of the staff shall have a direct approach to any member of the Management except through the Principal.

The Principal shall have all necessary powers of control and discipline in regard to the clerical and administrative staff including the power to withhold increments. All appointments in the Principal's office shall be made with his concurrence.

- 6. That the Principal shall be an ex-officio member of the Management and any other committee appointed by the Management and have the power to vote:
  - Provided that he shall not be a member of the Committee appointed to inquire into his own conduct.

8.	In all other respects, the mutual rights and obligations of the parties hereto shall be governed by the Statute of the University as amended from time to time and by the provisions of the Uttar Pradesh State Universitie Act 1973.			
9	Signed this	day of20		
- 3	on behalf of the Management by	***************************************		
	By the Principal in the presence	of:		
	Witness (1)	Witness (2)		
3	Address	Address		
		rm of Annual Academic Prooress Report for the Academic Session 20 - 20		
1	Name of the Teacher:			
2.	Department to which attache	d:		
3.	Whether Assistant Professor	Associate Professor, Professor, Principal, etc.		
4.	Academic qualifications or distinctions achieved if any, during the session			
5.	Details of publications or research work done by the teacher and/or papers read in any national or international conference.			
6.	Number of Research Students under his guidance during the session, and whether any of them has been conferred a research degree.			
7.	Number of Lectures (excluding tutorial classes) delivered in the University or Institute or College, durin the sessions.			
8.	Remarks.			
į	I HEREBY DECLARE that the	contents of this Academic Progress Report are true to my personal knowledge.		
Dat	e 20	Signature of the Teacher		
	Counter signed Designation.			